



Nassau County

Department of Public Works

B33-15

Staff Summary

Subject: Signing of Contract No. S3P311-03G
Department: Public Works
Department Head Name: Shila Shah-Gavnoudias, P.E.
Department Head Signature:
Project Manager Name: Thomas Immerso

Date: July 13, 2015
Vendor Name: RJ Industries, Inc.
Contract Number: S3P311-03G
Contract Manager Name: Philip Carlucci, President

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assign Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
	Dept. Head	7/16/15	Counsel to C.E.
7/15/15	Budget	7/15/15	County Atty.
7/15/15	Deputy C.E.	7/16/15	County Exec.

Narrative

Purpose: This contract consists of the repair/replacement of equipment and mitigation of wastewater pump stations (Wantagh Pk, Newbridge Rd, Merrick Rd & Ray St) damaged by Superstorm Sandy.

Procurement history, if applicable: This contract was publicly bid, and it is recommended to award the contract to the lowest responsible bidder, RJ Industries, Inc., who had the successful low bid of \$7,647,700.00.

General Provisions: Lump sum construction contract.

Impact on Funding/Price Analysis: The bid for this contract of \$7,647,700.00 is below the engineer's estimate and is to come from the approved authorized funds from Project No 3P311. It is expected that the full cost of this contract will be eligible for reimbursement by the Federal Emergency Management Agency (FEMA) under the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

Recommendation: Approve as submitted.

SSG:KGA:JLD:rp

2015 JUL 16 4 03 22
RECEIVED
NASSAU COUNTY
DEPARTMENT OF PUBLIC WORKS

Capital Project	Project Detail	Fund	Document Number	Current Encumbrance	Paid Amount	Current Encumbrance	Paid Amount	Balance	Retainage	Contract Start Date	Vendor Name
3B120	0 CSW	CHPW14000024	1	4	\$ 16,187,700.00	\$ -	\$ 16,187,700.00	\$ -	-	20141125	R.J. SCALAMANDRE, JOINT VENTURE
3C067	0 CSW	CHPW15000001	1	4	\$ 1,447,423.00	\$ -	\$ 1,447,423.00	\$ -	-	20150309	R.J. INDUSTRIES INC.
35114	0 CSW	CHPW14000002	1	4	\$ 996,700.00	\$ 849,405.00	\$ 147,295.00	\$ (42,470.25)	-	20140411	R.J. INDUSTRIES INC.
35116	0 CSW	CHPW13000019	2	4	\$ 16,302,088.00	\$ 7,029,287.67	\$ 9,272,810.33	\$ (351,464.37)	-	20140311	R.J. INDUSTRIES INC.

B33-15

RO -2015

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND R.J. INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S3P311-03G, PUMP STATION REPAIR & MITIGATION – WANTAGH PK, NEWBRIDGE RD, MERRICK RD & RAY ST ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of R.J. INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 7,647,700 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

REQUEST TO INITIATE

RTI Number 15-0223**REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT****PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC**☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: PUMP STATION REPAIR AND MITIGATION (S3P311-03G)
Wantagh Pk, Newbridge Rd, Merrick Rd & Ray St

Department: Public Works Project Manager: Thomas A. Immerso Date: July 13, 2015

Service Requested: General Construction Contract

Justification: Necessary for repairs/replacements of equipment at sewage pump stations damaged as a result of Superstorm Sandy as well as flood mitigation measures for the pump stations listed above.

Requested by: Department of Public Works Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$7,647,700.00
Circle appropriate phaseTotal Project Cost: \$11,100,000 (approx.)
Includes, design, construction and CMDate Start Work: August 2015
Phase being requestedDuration: 550 Days
Phase being requestedCapital Funding Approval: YES ☒ NO ☐ Thomas A. Immerso
SIGNATURE DATEFunding Allocation (Capital Project): 3P311 Sm
See Attached Sheet if multiyear ☐NIFS Entered: 1/2
SIGNATURE DATEAIM Entered: NA
SIGNATURE DATEFunding Code: 003
Use this on all encumbrancesTimesheet Code: _____
Use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐
1/2 Supplemental Environmental Documentation _____Department Head Approval: YES ☒ NO ☐ Thomas A. Immerso
SIGNATUREDCE/Ops Approval: YES ☐ NO ☐ Thomas A. Immerso
SIGNATURE**PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.**VendorQuoteComment

See Attached Sheet (bid results)



DCE/Ops Approval: YES NO Signature _____

Nassau DPW BLD.S - Summary of Bid Opening

S3p311-03G - General Contracting

6/16/2015

Pump Station Repair & Mitigation - Wantagh Pk, Newbridge Rd, Merrick Rd & Ray St.

Bid Opening: 6/2/2015

Engineer: Tom Imnesso

Phone: (516) 571-7508

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
RJ Industries	75 East Bedpage Road Plainville, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$7,647,780.00	\$0.00
Philip Ross Industries, Inc.	200 Long Island Avenue Wyandanch, NY 11798	Liberty Mutual Insurance Comp	10% Amt Bid	\$8,175,000.00	\$0.00
Posilico Civil, Inc.	1750 New Highway Farmingdale, NY 11735	Liberty Mutual Insurance Comp	10% Amt Bid	\$9,620,500.00	\$0.00
Straits Contracting Corp.	7 Corporate Drive Peekskill, NY 10566	Liberty Mutual Insurance Comp	10% Amt Bid	\$12,115,000.00	\$0.00

The above is a review of the bids and subsequent list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

COUNTY OF NASSAU
Inter-Departmental Memo

TO: Office of the County Executive
Att: Richard R. Walker, Chief Deputy County Executive

FROM: Department of Public Works

DATE: July 14, 2015

SUBJECT: RECOMMENDATION OF AWARD

Contract No: S3P311-03G

**Title: CONTRACT S3P311-03G – PUMP STATION REPAIR & MITIGATION –
WANTAGH PK, NEWBRIDGE RD, MERRICK RD & RAY ST**

Bids received on: June 2, 2015

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **R.J. Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$7,647,700.00**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.


Shila Shah-Gavinooudias
Commissioner

SSG:KGA;jh



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

DATE: July 17, 2015

SUBJECT: CSEA Notification of a Proposed DPW Contract
Pump Station Mitigation – General Construction
Proposed Contract No. S3P311-03G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:

General construction for repairs/replacements resulting from Hurricane Sandy, as well as requirements for Code/OSHA compliance items and flood mitigation measures for wastewater pump stations.

2. The work involves the following:

Scope of Work: General construction contract for the replacement of flood damaged process, mechanical and electrical equipment; elevating critical equipment above the 500 year base flood elevation (BFE); flood proofing structures to prevent damage from potential future storm events.

3. An estimate of the cost is: \$7,647,700
4. An estimate of the duration is: Eighteen (18) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.


Kenneth G. Arnold
Assistant to Commissioner

KGA:JLD:rp

- c: Keith Cromwell, Office of Labor Relations
William S. Nimmo, Deputy Commissioner
Patricia Kivo, Unit Head, Human Resources Unit
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Thomas A. Immerso, Sanitary Engineer II
Loretta V. Dionisio, Hydrogeologist II



COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Division of Administration
Att: Loretta Dionisio, Hydrogeologist II

FROM: Division of Engineering
Water/Wastewater Engineering Unit

DATE: July 17, 2015

SUBJECT: RECOMMENDATION OF AWARD

Contract No: S3P311-03G

Title: Pump Station Repair & Mitigation
Wantagh Pk, Newbridge Rd, Merrick Rd & Ray St

Engineer's Estimate: \$9,200,000.00

Bids Received On: June 2, 2015

The bids received for the above-referenced contract have been examined, and the bid submitted by RJ Industries, Inc., in the amount of \$7,647,700.00 is acceptable as the lowest responsible bidder.

Since the low bid is less than the engineer's estimate and adequate funds are available (Capital Project No. CSW 3P311), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Staff Summary, NIFA, CSEA, Engineer's Bid Analysis and Award Recommendation, and Request to Initiate forms for your information and use.

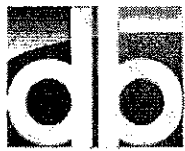


Kenneth G. Arnold
Assistant to Commissioner

KGA:JLD:rp
Attachments

Cc: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Thomas A. Immerso, Sanitary Engineer II





D&B ENGINEERS
AND
ARCHITECTS, P.C. 50
est. 1965

330 Crossways Park Drive, Woodbury, New York 11797-2015

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Stephen E. Tauss

July 7, 2015

Joseph L. Davenport, P.E.
Chief Sanitary Engineer
Unit Head, Water/Wastewater Engineering Unit
Nassau County Department of Public Works
3340 Merrick Road, Building R, 3rd Floor
Wantagh, NY 11793

Re: Pump Station Repair and Mitigation
Wantagh Park, Newbridge Road, Merrick Road, and
Ray Street Pump Stations
Contract No. S3P311-03G
Bid Evaluation
D&B No. 3520

Dear Mr. Davenport:

Bids were received on Tuesday, June 2, 2015 for Contract No. S3P311-03G – Pump Station Repair and Mitigation – Wantagh Park, Newbridge Road, Merrick Road and Ray Street Pump Stations. A detailed Bid Summary for the General Construction prime contract is attached. A general summary of the submitted bids is included below:

Contract	Bidder	Bid Amount
S3P311-03G – General Construction	R.J. Industries, Inc.	\$ 7,647,700*
S3P311-03G – General Construction	Phillip Ross Industries, Inc.	\$ 8,355,000
S3P311-03G – General Construction	Posillico Civil, Inc.	\$ 9,620,500
S3P311-03G – General Construction	Stratis Contracting Corp.	\$ 12,115,000
Engineer's Opinion of Construction Cost		\$ 9,200,000

*Apparent Low Bidder

"50 Years of Facing Challenges, Finding Solutions... Since 1965"

D&B ENGINEERS AND ARCHITECTS, P.C.

Joseph L. Davenport, P.E.
Chief Sanitary Engineer
Unit Head, Water/Wastewater Engineering Unit
Nassau County Department of Public Works
July 7, 2015

Page Two

As previously predicted under the Project Labor Agreement (PLA) Feasibility Analysis, the apparent low bid for the General Construction contract was below the construction cost estimate for this project. It is apparent that the current economy and the use of the PLA generated a cost savings for the County.

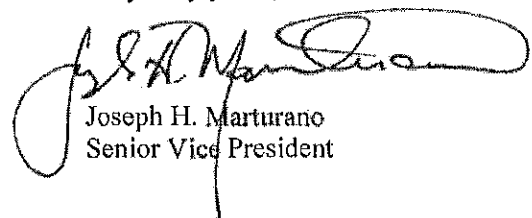
A Pre-award meeting was held on 7/1/15 with the apparent low bid contractor for the General Construction contract identified above (Meeting Minutes attached). The apparent low bidder responded to the information requested by the County in the letter dated 6/15/15, which is attached for reference. In addition, the bid qualifications and financial information provided by the apparent low bidder appears to be in accordance with the Contract Documents, (attached).

The apparent low bidder has previous experience with the County and is currently performing a number of projects at the County's Cedar Creek WPCP and Bay Park STP. In addition, this office has had a positive experience working with this contractor on previous projects of similar or larger magnitude.

Based on the above, we recommend the low bid be accepted and the County award Contract No. S3P311-03G – General Construction to R.J. Industries, Inc. in the amount of \$7,647,700.

Should you have any questions regarding the above, please do not hesitate to contact this office.

Very truly yours,



Joseph H. Marturano
Senior Vice President

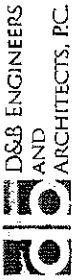
JHM/OMJt/cf

Attachments: Detailed Bid Summary (Contract S3P311-03G)
Bid Sheets
Pre-Award Meeting Minutes
County Letter dated 6/15/15
R.J. Industries, Inc. Documentation dated 6/17/15

cc: T. Immerso (NCDPW)
O.M. Jaramillo (D&B)
C. Leal (PM-JV) w/o attachments
H. Remo (PM-JV) w/o attachments

♦3520/JHM15LTR-09

DETAILED BID SUMMARY
CONTRACT S3P311-03G



County of Nassau Department of Public Works
Pump Station Repair and Mitigation
Wantagh Park, Newbridge Road, Merrick Road and Ray Street Pump Stations
CONTRACT NO. S3P311-03G - GENERAL CONSTRUCTION

Bid Opening Date - Tuesday, June 2, 2015

Detailed Bid Summary

Item No.	Approximate Quantity	Description	R.J. Industries, Inc.		Philip Ross Industries, Inc.		Postilco Civil, Inc.		Straits Contracting Corp.		WHM Plumbing Heating, Inc.*	
			Unit Price	Bid Amount	Unit Price	Bid Amount	Unit Price	Bid Amount	Unit Price	Bid Amount	Unit Price	Bid Amount
	Lump Sum											
1		Base Bid for furnishing all labor, materials and equipment required for all Construction work at Wantagh Park, Newbridge Road, Merrick Road and Ray Street Pump Stations as specified and shown on the drawings, complete and ready for operation.		\$7,443,200.00		\$8,140,500.00		\$9,400,000.00		\$11,900,000.00		-
2	50 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County.	\$30.00	\$1,500.00	\$50.00	\$2,500.00	\$110.00	\$5,500.00	\$100.00	\$5,000.00		-
3	100 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County.	\$30.00	\$3,000.00	\$120.00	\$12,000.00	\$150.00	\$15,000.00	\$100.00	\$10,000.00		-
* 4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County.		\$200,000.00		\$200,000.00		\$200,000.00		\$200,000.00		-
TOTAL BID AMOUNT				\$7,647,700.00		\$8,355,000.00		\$9,620,500.00		\$12,115,000.00		-

* - Not opened due to bid submitted after 10:30 am.

Average of four (4) bids received = \$9,434,550.

Engineer's Estimate = \$9,200,000.

BID SHEETS
CONTRACT S3P311-03G

Page 1 of 4

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.
Address: 75 East Bethpage Road; PO Box 349
City, State and Zip Code: Plainview, NY 11803
2. Entity's Vendor Identification Number: 11-3401288
3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☐ Closely Held Corp ☒ sub S-Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta, President	12 Allenby Drive; Ft. Salonga, NY 11768
John C. Doremus, Vice President	17 Melbourne Road; Great Neck, NY 11021
John E. Koziarz, Vice President	15 Warwick Road; Rockville Centre, NY 11570
William J. Doremus, Secretary-Treasurer	114 Bayview Avenue; Northport, NY 11768

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

see response to question #4

Page 2 of 4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company.

R.J. I. Mechanical, Inc.; 18-15 129th Street; College Point, NY 11356

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

None

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 23, 2015

Signed: 

Print Name: Richard O. Felicetta

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

EXECUTIVE ORDER NO. 2 - 2015

EXECUTIVE ORDER PURSUANT TO SECTION 203 OF THE
COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO FURTHER
DISCLOSURE BY THOSE DOING BUSINESS WITH NASSAU
COUNTY

WHEREAS, pursuant to subdivision 1 of section 203 of the Nassau County Charter, the County Executive is responsible for the administration of all departments, offices and functions of the county government; and

WHEREAS, the County Executive, in furtherance of his duties pursuant to subdivision 1 of section 203, is committed to ensuring transparency and openness with respect to the administration of governmental functions; NOW, THEREFORE BE IT

ORDERED, every lobbyist, as defined on the attached Lobbyist Registration and Disclosure Form (hereinafter "Lobbyist Form"), shall annually file the Lobbyist Form with the County Attorney and with the Clerk of the Nassau County Legislature for each calendar year, provided, however, that the filing of such statement of registration shall not be required of any lobbyist who in any year does not earn or incur an amount in excess of one thousand dollars reportable compensation and expenses for the purposes of lobbying, or is an officer, director, trustee or employee of any public corporation when acting in such official capacity; and it is further

ORDERED, that such filing shall be completed on or before January fifteenth by those persons who have been retained, employed or designated as lobbyists on or before December thirty-first of the previous calendar year who reasonably anticipate that in the coming year they will earn or incur combined reportable compensation and expenses in an amount in excess of one thousand dollars; and for those lobbyists retained, employed or designated after December thirty-first, and those lobbyists who, subsequent to their retention, employment or designation, reasonably anticipate combined reportable compensation and expenses in excess of such amount, such filing must be completed within fifteen days thereafter; and it is further

ORDERED, that the County Attorney shall forward copies of each Lobbyist Form to the Nassau County Department of Information Technology ("IT"), and that IT shall develop and maintain a lobbyist registration page on that County's website, and make available all such Lobbyist Forms for public inspection on the County's website; and it is further

ORDERED, that upon termination of a lobbyist's retainer, employment or designation, such lobbyist and the client, if any, on whose behalf such service has been rendered shall both give notice to the County Attorney in the electronic filing system within thirty days after the lobbyist ceases the activity that required such lobbyist to file a statement of registration; however, such lobbyist shall nevertheless comply with the reporting requirements as set forth above and the reporting requirements for the last periodic reporting period up to the date such activity has ceased; and it is further

ORDERED, any lobbyist required to file a Lobbying Form as set forth herein who, in any lobbying year, earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars, for the purpose of lobbying, shall file with the County Attorney periodic reports, on forms prescribed by the County Attorney, by the fifteenth day next succeeding the end of the reporting period on which the cumulative total for such lobbying year equaled such sum. Such reporting periods shall be the period from January 1 to March 31, April 1 to May 31, June 1 to August 31 and August 31 to December 31; and it is further

ORDERED, that any lobbyist making a report pursuant to the above paragraph shall thereafter file with the County Attorney, on forms prescribed by the County Attorney, a periodic report for each reporting period that such person earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars for the purposes of lobbying during such reporting period. Such report shall be filed not later than the fifteenth day next succeeding the end of such reporting period and shall include the amounts so earned or

incurred during such reporting period and the cumulative total during the lobbying year; and it is further

ORDERED, that IT shall ensure that the Lobbying Form and the form for periodic reports prescribed by the County Attorney are available on the County website; and it is further

ORDERED, that this Executive Order shall take effect immediately.

Dated:

May 15, 2015


EDWARD P. MANCANO
NASSAU COUNTY ATTORNEY

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COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

66E

Page 2 of 4

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

N/A

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

Page 3 of 4

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 23, 2015

Signed: 

Print Name: Richard O. Felicetta

Title: President

66G

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

B-33-15

Page 1 of 4

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J.I. Mechanical, Inc.
Address: 18-15 129th Street, Suite B
City, State and Zip Code: College Point, NY 11356
2. Entity's Vendor Identification Number: 90-0416314
3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)
4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):
John E. Kozlarz, 15 Warwick Road; Rockville Centre, NY 11570
John C. Doremus, Chairman & Vice President 17 Melbourne Road; Great Neck, NY 11021
Richard O. Felicetta, Vice President 12 Allenby Drive; Fort Salonga, NY 11768
William J. Doremus, Secretary-Treasurer 114 Bayview Avenue; Northport, NY 11768
5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.
see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J. Industries, Inc. 75 East Bethpage Road; Plainview, NY 11803

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

8-33-12

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: July 8, 2015

Signed: 

Print Name: William J. Doremus

Title: Secretary - Treasurer

The term lobbying shall mean any attempt to influence; any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the County with respect to the terms of the acquisition or disposition by the County of any interest in real property, with respect to a license or permit for the use of real property of or by the County, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendar or scope of any legislative oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the County to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

EXECUTIVE ORDER NO. 2 - 2015

EXECUTIVE ORDER PURSUANT TO SECTION 203 OF THE
COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO FURTHER
DISCLOSURE BY THOSE DOING BUSINESS WITH NASSAU
COUNTY

WHEREAS, pursuant to subdivision 1 of section 203 of the Nassau County Charter, the County Executive is responsible for the administration of all departments, offices and functions of the county government; and

WHEREAS, the County Executive, in furtherance of his duties pursuant to subdivision 1 of section 203, is committed to ensuring transparency and openness with respect to the administration of governmental functions; NOW, THEREFORE BE IT

ORDERED, every lobbyist, as defined on the attached Lobbyist Registration and Disclosure Form (hereinafter "Lobbyist Form"), shall annually file the Lobbyist Form with the County Attorney and with the Clerk of the Nassau County Legislature for each calendar year, provided, however, that the filing of such statement of registration shall not be required of any lobbyist who in any year does not earn or incur an amount in excess of one thousand dollars reportable compensation and expenses for the purposes of lobbying, or is an officer, director, trustee or employee of any public corporation when acting in such official capacity; and it is further

ORDERED, that such filing shall be completed on or before January fifteenth by those persons who have been retained, employed or designated as lobbyists on or before December thirty-first of the previous calendar year who reasonably anticipate that in the coming year they will earn or incur combined reportable compensation and expenses in an amount in excess of one thousand dollars; and for those lobbyists retained, employed or designated after December thirty-first, and those lobbyists who, subsequent to their retainer, employment or designation, reasonably anticipate combined reportable compensation and expenses in excess of such amount, such filing must be completed within fifteen days thereafter; and it is further

ORDERED, that the County Attorney shall forward copies of each Lobbyist Form to the Nassau County Department of Information Technology ("IT"), and that IT shall develop and maintain a lobbyist registration page on that County's website, and make available all such Lobbyist Forms for public inspection on the County's website; and it is further

ORDERED, that upon termination of a lobbyist's retainer, employment or designation, such lobbyist and the client, if any, on whose behalf such service has been rendered shall both give notice to the County Attorney in the electronic filing system within thirty days after the lobbyist ceases the activity that required such lobbyist to file a statement of registration; however, such lobbyist shall nevertheless comply with the reporting requirements as set forth above and the reporting requirements for the last periodic reporting period up to the date such activity has ceased; and it is further

ORDERED, any lobbyist required to file a Lobbying Form as set forth herein who, in any lobbying year, earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars, for the purpose of lobbying, shall file with the County Attorney periodic reports, on forms prescribed by the County Attorney, by the fifteenth day next succeeding the end of the reporting period on which the cumulative total for such lobbying year equaled such sum. Such reporting periods shall be the period from January 1 to March 31, April 1 to May 31, June 1 to August 31 and August 31 to December 31; and it is further

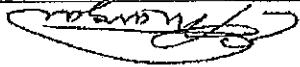
ORDERED, that any lobbyist making a report pursuant to the above paragraph shall thereafter file with the County Attorney, on forms prescribed by the County Attorney, a periodic report for each reporting period that such person earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars for the purposes of lobbying during such reporting period. Such report shall be filed not later than the fifteenth day next succeeding the end of such reporting period and shall include the amounts so earned or

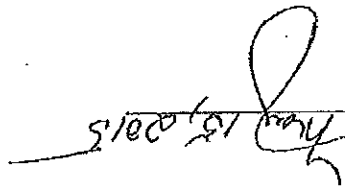
incurred during such reporting period and the cumulative total during the lobbying year; and it is further

ORDERRD, that IT shall ensure that the Lobbying Form and the form for periodic reports prescribed by the County Attorney are available on the County website; and it is further

ORDERRD, that this Executive Order shall take effect immediately.

EDWARD P. MANGANO
NASSAU COUNTY ATTORNEY



Dated: Nov 15, 2015 

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

N/A

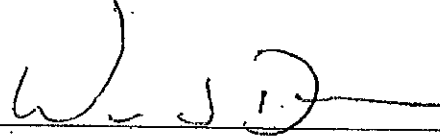
5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: July 8, 2015

Signed: _____



Print Name: William J. Doremus

Title: Secretary-Treasurer

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration made by the loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the County with respect to the terms of the acquisition or disposition by the County of any interest in real property, with respect to a license or permit for the use of real property of or by the County, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendar or scope of any legislative oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the County to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

PROPOSAL

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

PUMP STATION REPAIR AND MITIGATION
WANTAGH PARK, NEWBRIDGE ROAD,
MERRICK ROAD AND RAY STREET PUMP STATIONS

Contract No. S3P311-03G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R. J. Industries, Inc.

Bidder: a Subsidiary S-Corporation
(Individual, Firm or Corporation as case may be)

Bidder's Business Address: 75 East Bethpage Road; PO Box 349
Plainville NY 11803

Telephone: 516-845-9772 Date of Bid: JUNE 2, 2015

FAX: 516-454-1759 E-Mail: bid@rjill.net

NO TEXT ON THIS PAGE

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____
Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicetta
12 Allenby Drive, Ft. Salonga, NY 11768

Name and Residence of Vice-President: John C. Doremus
17 Melbourne Road, Great Neck, NY 11021

Name and Residence of ^{Vice Pres.} Secretary: John E. Kozianz
15 Warwick Road, Rockville Centre, NY 11570

Name and Residence of ^{Secretary +} Treasurer: William J. Doremus
114 Bayview Avenue, Northport, NY 11768

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this Bid; and that no other person, firm or corporation, except those herein named, has any interest in this Bid or in the Contract proposed to be entered into.
2. That this Bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a Bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or will become interested directly, or indirectly, as a contracting party, partner, stockholder, Surety or otherwise in this Bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the Work; the character, quality and quantity of existing materials; all difficulties likely to be encountered; the kind and extent of labor, equipment, other facilities needed for the performance of the Work; the general and local conditions; and all other items and conditions which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, and that he will execute the Contract and will completely perform it in strict accordance with its terms for the prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the Contractor shall be required to have such a license.

NO TEXT ON THIS PAGE

PROPOSAL

I. Rejection of Bids

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualification statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning maintenance of plant operations (MOPO) and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend /to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of the various items multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids

Bids on Lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate price bid, if any.

IV. Apprenticeship Training Program

For all Contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

NO TEXT ON THIS PAGE

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S3P311-03G				
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	AMOUNT BID PRICE DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Wantagh Park, Newbridge Road, Merrick Road, and Ray Street Pump Stations as specified and shown on the drawings, complete and ready for operation. <i>Seven Million, Four hundred and forty three thousand, two hundred dollars & no cents</i>	<i>\$7,443,200</i>	<i>00</i>
2	50 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County <i>Thirty</i>	<i>\$1,500.</i>	<i>00</i>
3	100 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County. <i>Thirty</i>	<i>\$3,000</i>	<i>00</i>
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County. <i>Two Hundred Thousand</i>	<i>\$200,000</i>	<i>00</i>

TOTAL BID (Bid Item Nos. 1 thru 4): \$ *7,647,700.*

TOTAL BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:

Seven Million, Six hundred and forty seven thousand seven hundred dollars and no cents.

DOLLARS

CENTS

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P-5

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PROPOSAL

GENERAL CONSTRUCTION

ALLOWANCES. It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An Allowance of two hundred thousand dollars (\$200,000) for Miscellaneous Additional Work.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments as authorized by the County, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

NO TEXT ON THIS PAGE

PROPOSAL

MAJOR EQUIPMENT ITEMS. The Bidder shall fill in the names and addresses of the proposed manufacturers and/or suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification Number	Description	Manufacturer and/or Supplier
11170	Magnetic Flow Meter	TCS-NJ, OCC/Metwinc-NY, Eagle-NY or EQUAL
11530	Gas Detection Systems	KPRO-CT, MSA Ultima-NJ, Heli-er ASSOC or EQUAL
11600	Fuel Tank Leak and Level Detection System	Ucedin Root, Winters West Inc-NY or EQUAL
15099	Large Diameter Valves, Specials and Appurtenances	Valvomatic-CT, BA/Hemer-NY or EQUAL
15160	Wet-Pit Submersible Pumps	G.A. Fleet-NY or EQUAL
15160A	Dry-Pit Submersible Pumps	G.A. Fleet-NY or EQUAL
15341	Hydraulic Grinder System	Jager-NJ, Bendlin or EQUAL
15342	Electric Grinder System	Jager-NJ, Bendlin-NJ or EQUAL
15999	Sump Pumps	G.A. Fleet-NY, Jager-NJ, Koester-NJ or EQUAL
Division 16	Electric Service Panel	Square D-NY, AVON-NY or EQUAL
16200	Diesel Engine Driven Emergency Generator	Kohler USA or EQUAL
16927	Sump Pump Control Panel	G.A. Fleet-NY, Jager-NJ, Koester-NJ or EQUAL
17999	Odor Control System	Cabotrol/Hemer-NJ or EQUAL

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SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

PUMP STATION REPAIR AND MITIGATION
WANTAGH PARK, NEWBRIDGE ROAD, MERRICK ROAD AND
RAY STREET PUMP STATIONS
CONTRACT NO. S3P311-03G

BIDDER: R.J. Industries, Inc.

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: NA - Self Perform
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

2. Heating, Ventilating and Air Conditioning

- a. Company: NA - Self Perform
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: LEB Electric LTD
- b. Address: 85 Ralph Avenue, Coopers NY
- c. Telephone: 631-847-0500
- d. Contact Name: Pat Grogan
- e. Subcontract Value: \$ 990,000

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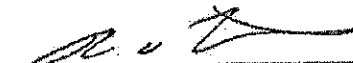
IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law § 165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

Richard O. Felicetta, President
Print Name and Position

NO TEXT ON THIS PAGE

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes ___ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

Richard O. Felkett,
President

R.J. Industries, Inc.
(Name of Business)

NO TEXT ON THIS PAGE

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: R. J. Industries, Inc.
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-1798851

Municipal License ID Number:

Municipal Licensing Agency: _____

By: [Signature] Date: 6/2/15
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felibetta Title: President

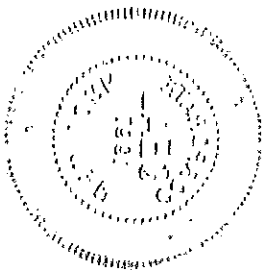
WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

[Signature]
Secretary
William J. Doremus

(CORPORATE)
(SEAL)

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GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,
deposes and says: That he is the person described in and who executed the foregoing Bid
and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,
deposes and says: That he is a member of _____
the firm described in and which executed the foregoing Bid; that he duly subscribed the
name of the firm thereunto on behalf of the firm; and that the several matters therein
stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____

Notary Public

++ NO TEXT ON THIS PAGE ++

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Richard O. Felicetta Being duly sworn,
deposes and says: That he resides at Suffolk County, NY,
that he is the President of the corporation
described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that the seal affixed to the said instrument is such corporate seal and was
affixed by order of the Board of Directors of said corporation; that he affixed his name
thereto by like order; and that he has knowledge of the several matters therein stated and
they are in all respects true.

Subscribed and sworn to before me

This 2nd day of June 20 15.

Evelyn Della Ratta
Notary Public

EVELYN DELLA RATTA
Notary Public, State of New York
01DE4854133
Qualified in Nassau County
Commission Expires May 27, 2018

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DISCLOSURE STATEMENT

PRE-AWARD MEETING MINUTES



**NASSAU COUNTY
DEPARTMENT OF PUBLIC WORKS
DIVISION OF ENGINEERING**

PRE-AWARD MEETING MINUTES

RE: Nassau County Department of Public Works
Pump Station Repair and Mitigation
Wantagh Park, Newbridge Road, Merrick Road and Ray Street
Pumping Stations
Contract No.: S3P311-03G
D&B No. 3520

DATE: July 1, 2015

LOCATION: Cedar Creek Water Pollution Control Plant -- Building "R" -- Conference Rm
Wantagh, Nassau County -- New York

ATTENDEES:

T. Immerso	Nassau County Department of Public Works
E. Visone	Nassau County Department of Public Works
H. Remo	Hazen and Sawyer/Arcadis Joint Venture (PM- JV)
C. Leal	Hazen and Sawyer/Arcadis Joint Venture (PM- JV)
B. Barkwill	Hazen and Sawyer/Arcadis Joint Venture (PM- JV)
O. Mubarak-J	D&B Engineers and Architects, P.C. (D&B)
L. Lautato	D&B Engineers and Architects, P.C. (D&B)
R. Felicetta	RJ Industries (RJI)
J. Doremus	RJ Industries (RJI)

Minutes:

The following is a general summary of the Pre-award Meeting held at the Cedar Creek WPCP, Building "R", at 10:00 a.m. on July 1, 2015 for the above-referenced project:

1. Purpose of Meeting: The purpose of the meeting was to discuss the bid submitted, discuss the project scope and construction requirements and to evaluate the apparent low bid contractor for the General Construction Contract (Contract No. S3P311-03G).
2. The meeting commenced with the introduction of all project participants
3. Response to County letter dated 6/15/15: RJI provided responses to the County letter, attached.
4. Bid Amount: RJI was asked if they were comfortable with their bid or if they had any comments or concerns related to the nature of the work. RJI indicated that they were comfortable with the amount bid and that there were no concerns with performing the work as specified.

5. Project Labor Agreement: The attendees were reminded that this project is subject to a Project Labor Agreement (PLA). The details of the PLA are included in the Contract Documents. Because of the PLA, this contract is not subject to the Wicks Law requirements for separate prime contracts and only a single General Construction contract is awarded.
6. Project Scope/Understanding: The contractor was reminded of the major aspects for the construction of this project which includes maintenance of sewage flow, installation of main sewage pumps/piping/valves and modifications as necessary, new emergency generator systems, hydraulic grinder system, odor control system (Newbridge), installation of reinforced concrete wall, replacement relocation of electrical service and equipment, architectural modifications, sump pump system, (Wantagh, Newbridge, Merrick), fire alarm/gas detection systems.
7. Schedule: RJJ indicated that they are comfortable with the schedule/18-month duration. Additionally they are comfortable with the MOPOs, shutdowns, etc., required for this project.
8. Major Equipment Manufacturers/Proposed Substitutions: D&B inquired about the proposed major equipment manufacturers. RJJ indicated that as of now they are anticipating utilizing the specified manufacturers for the major equipment items and they don't anticipate any substitutions. RJJ inquired when the County anticipates issuing a NTP. The County estimates about 3 months dependent on the County Legislature.
9. Subcontractors: RJJ included in their bid LEB Electric Ltd. for electrical wiring and fixtures.
10. Addenda to Contract Documents: One (1) addendum was incorporated into the Contract Documents. RJJ indicated that they were familiar with it.
11. Construction Schedule/CPM:

RJJ indicated that they are comfortable with the 18-month schedule and completion of the work within this timeframe. D&B reminded them that Primavera software shall be used for the CPM and that it shall be cost loaded. Additionally, the basis for the cost loading will be the approved Schedule of Values, which should be submitted 15 days after execution of the contract.
12. Project Manager and Superintendent: RJJ indicated that the project manager and superintendent is dependent on when the Notice to proceed is issued. They will send over the resumes of the proposed personnel once an anticipated Notice to Proceed date is provided by the County.
13. Shop Drawing Schedule: To be provided 15 days after NTP. O&M Manuals shall be provided when project is 50% complete.
14. Coordination with Other Construction – The County indicated that a project involving the installation of a County wide Wastewater Pump Station SCADA system is scheduled to proceed during the duration of this project and coordination of the work may be necessary.
15. O&M Manuals: D&B reminded the contractor that O&M manuals have to be provided in order to request payment in excess of 50% for the furnished equipment or system.



16. As-Built Drawings: A record of as-built construction has to be kept by the contractor as the construction progresses.
17. Liquidated Damages: D&B and the County emphasized that there are liquidated damages on this project and therefore it is critical that the schedule is complied with.
18. Open Discussion: The County indicated that the staging area is at Newbridge Road Pump Station. RJI questioned whether the County Owned generator to be utilized at Ray Street PS has been exercised under load. The County indicated that the generator does get exercised on a regular basis; however not certain if it is exercised under load. It was also indicated that the generator was utilized recently during Super Storm Sandy.

cc: All Attendees
J. Davenport (NCDPW)
J. Marturano (D&B)

Attachments:

Agenda
Sign-In Sheet
County Letter dated 6/15/15
RJI Response to County letter dated 6/15/15



**NASSAU COUNTY
DEPARTMENT OF PUBLIC WORKS
DIVISION OF ENGINEERING**

PRE-AWARD MEETING AGENDA

July 1, 2015

PROJECT TITLE: Pump Station Mitigation Design
Wantagh Park, Newbridge Road, Merrick Road and Ray Street
Pumping Stations
Contract No.: S3P311-03G

1. Purpose of Meeting
2. Response to County letter dated 6/15/15
3. Bid Amount
4. Project Labor Agreement
5. Project Scope/Understanding
6. Insurance Documents
7. Schedule
8. Major Equipment Manufacturers/Proposed Substitutions
9. Subcontractors
10. Addenda to Contract Documents
11. Construction Schedule/CPM
12. Project Manager and Superintendent
13. Shop Drawing Schedule
14. Coordination of Work
15. O&M Manuals
16. As-Built Drawings
17. Liquidated Damages
18. Open Discussion

PRE-AWARD MEETING

SIGN IN SHEET

NASSAU COUNTY
DEPARTMENT OF PUBLIC WORKS
DIVISION OF ENGINEERING
Pump Station Mitigation Design
Wantagh Park, Newbridge Road, Merrick Road and Ray Street
Pumping Stations
Contract No.: S3P311-03G

D&B NO.: 3520

DATE: July 1, 2015

TIME: 10:00 am

Name	Representing	Telephone No./Fax No./Email
Tom Immerso	NC - SPA	Ph:/Fax 516-571-7536 Email TIMMERSO@NASSAUCOUNTY.NY.GOV
HAZEL REMO	PM-JV/ARCADIS	Ph:/Fax 718-594-2371 Email hazel.remo@arcadis-us.com
Chris Leal	PM/JV ARCADIS	Ph:/Fax (516) 865-8663 Email chris.leal@arcadis-us.com
Richard Felbrecker	RS IND.	Ph:/Fax 516-845-9772 Email rbfelbrecker@rij.net
John Doremus	...	Ph:/Fax ... Email JDoremus@rij.net
ED VISONI	NC DPW	Ph:/Fax 516-571-7359 Email E.VISONI@NASSAUCOUNTY.NY.GOV
LISA LAUTATO	D.P.	Ph:/Fax 516-661-9890 Email LLautato@db-eng.com
		Ph:/Fax Email
		Ph:/Fax Email
		Ph:/Fax Email
		Ph:/Fax Email

COUNTY LETER DATED 6/15/15
R.J. INDUSTRIES, INC. DOCUMENTATION 6/17/15

EDWARD P. MANGANO
COUNTY EXECUTIVE



SHILA SHAH-GAVNOUDIAS, P.E.
COMMISSIONER

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS**
1194 PROSPECT AVENUE
WESTBURY, NEW YORK 11590-2723

June 23, 2015

Mr. Richard Felicetta, President
RJ Industries, Inc.
75 East Bethpage Rd.
P.O. Box 349
Plainview, NY 11803

Re: Additional Information
Contract No. S3P311-03G
Pump Station Repair and Mitigation

Dear Mr. Felicetta:

Your firm is the apparent low bidder for the subject contract; bids having been received on June 2, 2015. A Pre-Award Meeting to determine your ability and capability to perform the work under this contract will be scheduled within the next few weeks. In order to prepare for this meeting, the following items must be addressed and responses submitted to this office on or before Tuesday, June 30, 2015.

- An adequate demonstration of financial responsibility, in the form of a Certified Financial Statement prepared by a CPA, to assure that your firm possesses adequate resources and availability of credit, as well as the means and ability to perform the project and procure insurance and bonds required for the project.
- Disclosure of any suspensions or revocations of any professional license of any director, officer, owner or managerial employee of your firm, to the extent that any work to be performed is within the field of such licensed profession.
- Disclosure of any and all OSHA violations within the previous three (3) years, as well as all notices of OSHA violations filed against your firm in the same three (3) year period, together with a description and explanation of remediation or other steps taken regarding such violations and notices of violation.
- Disclosure of any and all violations within the previous five (5) years pertaining to unlawful intimidation or discrimination against any employee by reason of race, creed, color, disability, sex or national origin and/or violations of an employee's civil rights or equal employment opportunities.
- Disclosure of any litigation (including copies of pleadings) in which your firm has been named as a defendant or (3rd) party defendant in an action involving a claim for personal injury or wrongful death arising from performance of work related to any project in which it has been engaged within the previous five (5) years.
- Disclosure of violations of the Prevailing Wage and Supplement Payment Requirements of the Labor Law and any other Labor Law provisions, including, but not limited to, child labor violations, failure to pay wages or unemployment insurance tax delinquencies within the past five (5) years.
- Disclosure of violations of the Workers' Compensation Law, including, but not limited to, the failure to provide proof of Workers' Compensation or Disability Coverage and/or any lapses thereof.

RJ Industries, Inc.

June 23, 2015

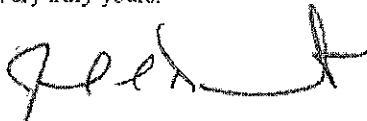
Page 2

Re: Additional Information
Contract No. S3P311-03G
Pump Station Repair and Mitigation

- Disclosure of any criminal convictions or criminal indictments involving your firm, its officers, directors, owners and/or managerial employees within the past five (5) years.
- Disclosure of any violations within the past five (5) years or pending charges concerning Federal, State or municipal environmental and/or health laws, codes, rules and/or regulations.
- Compliance with Local law No. 9-2002 – "Apprenticeship Training Programs for County Contracts."
- Identification and description of any projects within the previous five (5) years that your firm was determined by a municipality not to be a responsible bidder; the reasons given by such municipality, therefore, together with an explanation thereof.
- Documentation from previous projects regarding timeliness of performance, quality of work, extension requests, labor disputes, litigation and/or arbitration arising from such work, including fines and penalties imposed and payment thereof, liens filed, history of claims for extra work, contract defaults, together with explanations of same.
- Description of your experience with wastewater treatment plant projects of similar comparative size, complexity and cost, performed within recent years, together with documentary evidence of such projects, the value of subcontracted work and owner references.
- Description of your proposed approach to the project, with a breakdown of the major construction activities, the sequence in which they will be performed and their durations.
- Identification of all work to be subcontracted along with its value.

Should you have any questions, please contact our Project Manager, Thomas A. Immerso, at (516) 571-7536 or e-mail Mr. Immerso at timmerso@nassaucountyny.gov.

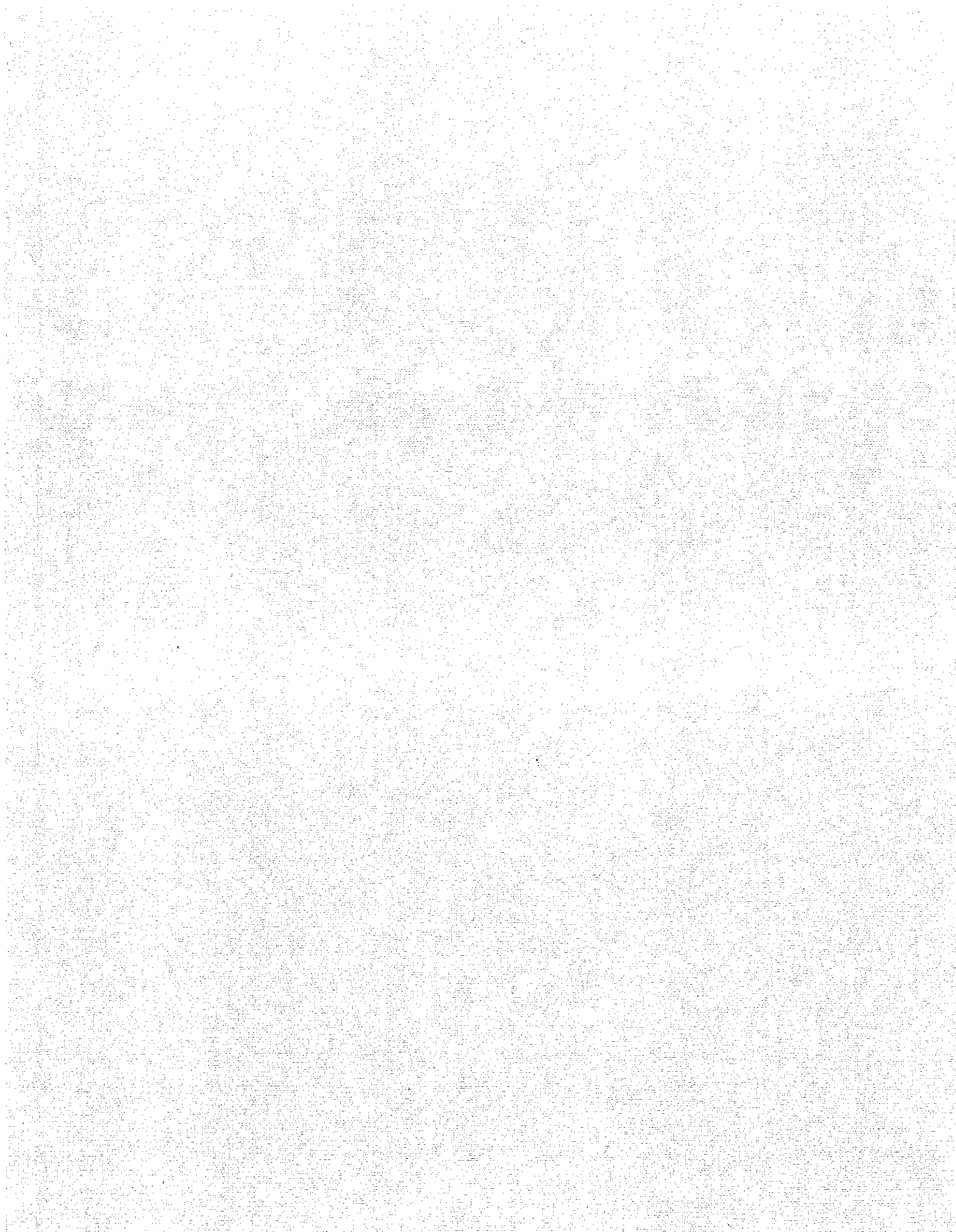
Very truly yours.



Joseph L. Davenport, P.E.
Chief Sanitary Engineer
Unit Head, Water/Wastewater Engineering Unit

JLD:cs

c: Joseph Marturano, D&B
Olga Mubarak-Jaramillo, D&B
Edward F. Visone, Assistant Superintendent of Sanitary Construction
Thomas A. Immerso, Sanitary Engineer II



R.J. Industries, Inc.
75 East Bethpage Road
P.O. Box 349
Plainview, New York 11803-0349

Phone: (516) 845-9772
Fax: (516) 454-1759

June 17, 2015

County of Nassau, Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590-2723

Att: Joseph Davenport, PE
Chief Sanitary Engineer
Unit Head, Water/Wastewater Engineering Unit

Re: Pre-Award; Contract No: S3P311-03G
Pump Station Repair and Mitigation

Gentlemen,

We are pleased to respond to your request for additional information as per your June 15th letter. Our tax ID No. is 11-3401288.

1: Attached are our audited financial statements covering the prior three years. We can and will provide the required insurance and bonds upon award.

2 – 9: Our response to these questions is "None".

10: We participate in NYS Apprenticeship programs through our trade unions and we enclosed a letter from local 138 evidencing this with our bid.

11: Our response to this question is "None".

12-13: We submitted schedules showing our contracting experience with the bid. We believe our experience with Nassau County DPW work, including pump stations, shows that RJ is very qualified to perform this contract. Copies of the schedules are attached.

14: We will perform this work in line with the contract documents and an approved critical path (CPM) schedule acceptable to Nassau County

R.J. Industries, Inc.
75 East Bethpage Road
P.O. Box 349
Plainview, New York 11803-0349

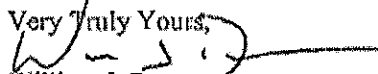
Phone: (516) 845-9772

Fax: (516) 454-1759

15: We intend to subcontract electrical, masonry, roofing and painting.

Please contact the undersigned (at extension 138) if you have any questions or need additional information.

Very Truly Yours,



William J. Doremus
Secretary-Treasurer

Cc: Richard O. Felicetta (RJ)
Joseph Marturano, D&B
Olga Mubarak-Jaramillo, D&B
Edward F. Visone, NC DPW
Thomas A Immerso, NC DPW

R.J. Industries, Inc. and Affiliates

**Combined Financial Statements
(With Supplementary Information)
and Independent Auditor's Report**

December 31, 2013 and 2012

R.J. Industries, Inc. and Affiliates

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Combined Contracts in Progress	23
Combined Earnings from Contracts	24

Independent Auditor's Report

To the Stockholders and Partners
R.J. Industries, Inc. and Affiliates

We have audited the accompanying combined financial statements of R.J. Industries, Inc. and Affiliates (collectively the "Company"), which comprise the combined balance sheets as of December 31, 2013 and 2012, and the related combined statements of income and comprehensive income, equity and cash flows for the years then ended, and the related notes to the combined financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these combined financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of combined financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these combined financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the combined financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the combined financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the combined financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the combined financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the combined financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the combined financial statements referred to above present fairly, in all material respects, the financial position of R.J. Industries, Inc. and Affiliates as of December 31, 2013 and 2012, and the results of their operations and their cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the combined financial statements as a whole. The supplementary information included on pages 22 through 24 is presented for purposes of additional analysis and is not a required part of the combined financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the combined financial statements. The information have been subjected to the auditing procedures applied in the audits of the combined financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the combined financial statements or to the combined financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion the information is fairly stated in all material respects in relation to the combined financial statements as a whole.

CohnReznick LLP

Jericho, New York
April 30, 2014

R.J. Industries, Inc. and Affiliates

Combined Balance Sheets
December 31, 2013 and 2012

<u>Assets</u>	<u>2013</u>	<u>2012</u>
Current assets:		
Cash and cash equivalents	\$ 858,413	\$ 3,284,078
Contracts receivable	9,272,423	7,543,486
Marketable securities	343,072	223,031
Costs and estimated earnings in excess of billings on uncompleted contracts	356,411	630,166
Prepaid expenses and other current assets	1,262,070	874,071
Prepaid income taxes	5,851	1,623
Deferred costs	36,168	2,297
Advances to officers	252,084	32,084
Total current assets	12,386,492	12,590,836
Equipment, net	1,278,423	605,259
Investment in real estate partnership	250,145	286,107
Security deposit	1,825	1,825
Total assets	\$ 13,916,885	\$ 13,484,027
<u>Liabilities and Equity</u>		
Current liabilities:		
Accounts payable and accrued expenses	\$ 4,673,466	\$ 4,570,325
Accounts payable - related party	22,107	62,947
Current portion of long-term debt	594,713	368,161
Deferred billings	10,612	-
Billings in excess of costs and estimated earnings on uncompleted contracts	2,178,083	2,275,416
Total current liabilities	7,478,961	7,276,848
Long-term debt, less current portion	311,410	43,224
Total liabilities	7,790,371	7,320,072
Commitments and contingencies		
Equity:		
Common stock	10,100	10,100
Additional paid-in capital	92,000	92,000
Retained earnings	5,401,459	5,009,604
Partners' capital	562	500
Accumulated other comprehensive income	44,534	14,846
Total R.J. Industries Inc. and Affiliates equity	5,548,645	5,127,050
Noncontrolling interest	577,869	1,036,905
Total equity	6,126,514	6,163,955
Total liabilities and equity	\$ 13,916,885	\$ 13,484,027

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates

Combined Statements of Income and Comprehensive Income
Years Ended December 31, 2013 and 2012

	2013	2012
Revenue	\$ 29,406,884	\$ 26,399,758
Cost of revenue	26,195,973	22,711,146
Gross profit	3,210,911	3,688,612
General and administrative expenses	2,647,490	2,192,210
Operating income	563,421	1,496,402
Other income (expense):		
Interest income	14,093	16,888
Dividend income	11,283	7,541
Loss on investment in real estate partnership	(29,962)	(13,893)
Gain (loss) on sale of marketable securities	3,087	(268)
Gain on sale of fixed assets	40,134	-
Insurance proceeds for damaged fixed assets	35,974	-
Interest expense	(9,817)	(5,600)
Totals	64,792	4,868
Income before provision for income taxes	628,213	1,501,070
Provision for income taxes	1,265	1,814
Combined net income	626,948	1,499,256
Net (loss) income attributable to the noncontrolling interest	(144,036)	666,724
Net income attributable to RJ Industries Inc. and Affiliates	770,984	832,532
Other comprehensive income:		
Unrealized gains on marketable securities:		
Unrealized holding gains arising during the year	26,601	15,634
Reclassification adjustment for gain (loss) included in net income	3,087	(268)
Total other comprehensive income	29,688	15,366
Combined comprehensive income	\$ 656,636	\$ 1,514,622

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates

Combined Statements of Equity
Years Ended December 31, 2013 and 2012

	Common Stock Shares	Amount	Additional Paid-in Capital	Retained Earnings	Partners' Capital	Accumulated Other Comprehensive Income (Loss)	Total	Noncontrolling Interest	Total Equity
Balance, December 31, 2011	200	\$ 10,100	\$ 92,000	\$ 4,414,505	\$ 291	\$ (520)	\$ 4,516,376	\$ 1,260,181	\$ 5,776,557
Combined net income	-	-	-	832,323	209	-	832,532	665,724	1,499,256
Other comprehensive income	-	-	-	-	-	15,366	15,366	-	15,366
Distributions	-	-	-	(237,224)	-	-	(237,224)	(890,000)	(1,127,224)
Balance, December 31, 2012	200	10,100	92,000	5,009,604	500	14,846	5,127,050	1,036,905	6,163,955
Combined net income (loss)	-	-	-	770,932	52	-	770,984	(144,036)	626,948
Other comprehensive income	-	-	-	-	-	29,888	29,888	-	29,888
Distributions	-	-	-	(379,077)	-	-	(379,077)	(315,000)	(694,077)
Balance, December 31, 2013	200	\$ 10,100	\$ 92,000	\$ 5,401,459	\$ 552	\$ 44,534	\$ 5,548,645	\$ 577,889	\$ 6,126,514

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates
Combined Statements of Cash Flows
Years Ended December 31, 2013 and 2012

	2013	2012
Operating activities:		
Combined net income	\$ 626,948	\$ 1,499,256
Adjustments to reconcile combined net income to net cash provided by (used in) operating activities:		
Depreciation	348,987	247,744
Gain on sale of fixed assets	(40,134)	-
(Gain) loss on sale of marketable securities	(3,087)	288
Loss on investment in real estate partnership net of distributions received of \$6,000 in 2013	35,962	13,893
Changes in operating assets and liabilities:		
Contracts receivable	(1,728,937)	296,351
Costs and estimated earnings in excess of billings on uncompleted contracts	273,755	(282,720)
Prepaid expenses and other current assets	(387,999)	393,277
Prepaid income taxes	(4,228)	5,562
Deferred costs	(33,871)	50,155
Accounts payable and accrued expenses	103,141	(41,818)
Accounts payable - related party	(40,840)	(18,564)
Deferred billings	10,612	(113,035)
Billings in excess of costs and estimated earnings on uncompleted contracts	(97,352)	1,495,918
Net cash provided by (used in) operating activities	(937,043)	3,546,287
Investing activities:		
Purchases of equipment	(1,045,367)	(141,835)
Proceeds from disposal of fixed assets	63,355	-
Advances to officer, net	(220,000)	(15,000)
Investment in real estate partnership	-	(300,000)
Non-controlling interest - distributions	(315,000)	(890,000)
Investment in marketable securities	(204,661)	(79,431)
Sale of marketable securities	125,947	73,401
Reinvested dividends	(8,557)	(4,426)
Net cash used in investing activities	(1,604,283)	(1,357,291)
Financing activities:		
Repayments of long-term debt	(368,162)	(283,147)
Proceeds from long-term debt	862,900	71,081
Distributions to stockholders	(379,077)	(237,224)
Net cash provided by (used in) financing activities	115,661	(449,290)
Net (decrease) increase in cash and cash equivalents	(2,425,665)	1,739,706
Cash and cash equivalents, beginning of year	3,284,078	1,544,372
Cash and cash equivalents, end of year	\$ 858,413	\$ 3,284,078
Supplemental disclosure of cash flow data:		
Interest paid	\$ 9,817	\$ 5,600
Income taxes paid	\$ 4,276	\$ -
Supplemental disclosure of noncash financing:		
Equipment purchased with financing	\$ 360,500	\$ -
Insurance financing	\$ 502,400	\$ 327,170

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Note 1 - Nature of operations

R.J. Industries, Inc. ("RJI") primarily performs on construction contracts and provides rental of heavy equipment to customers in the New York Metropolitan area. During 2008, the stockholders of RJI formed R.J.I. Mechanical, Inc. ("RJM"), an "S" Corporation and R.J. Associates ("RJA"), a partnership, to perform on plumbing and mechanical contracts and hold life insurance policies, respectively. RJI invested in two joint ventures in 2008, R.J. Industries/Eldor Contracting Corporation J.V. ("Eldor JV") and R.J. Industries/Eldor Contracting Corporation PW BNR J.V. ("ELPW JV"). RJI invested in a third joint venture in 2010, RJ/Eldor Great Neck Venture, J.V. ("ELGN JV"). In addition, RJI invested in a fourth joint venture in 2012, RJ/Eldor SBU, JV ("SBU JV"). All four joint ventures perform on contracts specializing in the construction of waste water treatment plants.

The combined financial statements include the accounts of RJI and its Affiliates RJM, RJA, and the consolidated joint ventures EldorJV, ELPW JV, ELGN JV, and SBU JV (collectively the "Company"). All combined entities are affiliated by common control and report on a December 31st year end. All intercompany accounts have been eliminated in combination.

Note 2 - Summary of significant accounting policies

Use of estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Operating cycle

The length of the Company's contracts varies, but is typically between one to three years. Assets and liabilities relating to long-term contracts are included in current assets and current liabilities in the accompanying combined balance sheets as they will be liquidated in the normal course of contract completion, although this may require more than one year.

Revenue and cost recognition

Equipment rental revenue is recognized under the accrual method when the service is rendered, net of applicable sales tax.

Construction revenue is recognized on the "percentage-of-completion" method, measured by the percentage of total costs incurred to date to estimated total costs for each contract. This method is utilized because management considers the cost-to-cost method to be the best available measure of progress on these contracts.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Contract costs include all direct material and labor costs and those indirect costs related to contract performance, such as indirect labor, supplies, tools, repairs and depreciation costs. General and administrative costs are charged to expense as incurred. Provisions for estimated losses on uncompleted contracts are made in the period in which such losses are determined. Changes in job performance, job conditions and estimated profitability, including those arising from contract penalty provisions and final contract settlements may result in revisions to costs and income and are recognized in the period in which the revisions are determined. Profit incentives are included in revenue when their realization is reasonably assured. An amount equal to contract costs attributable to claims is included in revenue when realization is probable and the amount can be reliably estimated.

Provisions for losses on uncompleted contracts are made in the period in which such losses are determined. Claims on contracts are not recorded until it is probable that the claim will result in additional contract revenue and the amounts can be reliably estimated.

The asset, "Costs and estimated earnings in excess of billings on uncompleted contracts" represents revenue recognized in excess of amounts billed. The liability, "Billings in excess of costs and estimated earnings on uncompleted contracts", represents billings in excess of revenue recognized.

Cash and cash equivalents

The Company considers all cash accounts, which are not subject to withdrawal restrictions or penalties, and all highly liquid instruments purchased with a maturity of three months or less when acquired to be cash equivalents. Cash equivalents consist of money market funds.

Contracts receivable

Contracts receivable from performing general construction are based on contracted prices. On a periodic basis, the Company evaluates its contracts receivable and establishes an allowance for doubtful accounts based on historical write-offs, collections and current credit considerations. As of December 31, 2013 and 2012, an allowance for doubtful accounts was not deemed necessary.

Marketable securities

The Company has a diverse portfolio of investments in debt and marketable equity securities. Management determines the appropriate classification of the securities at the time they are acquired and evaluates the appropriateness of such classifications at each combined balance sheet date.

Available-for-sale securities consist of debt securities and marketable equity securities not classified as trading or held-to-maturity. Available-for-sale securities are stated at fair value and unrealized holding gains and losses are reported as a separate component of accumulated other comprehensive income within equity.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Interest on debt securities is recognized in income as earned and dividends on marketable equity securities are recognized in income when declared. Realized gains and losses, including losses from declines in value of specific securities determined by management to be other-than-temporary, are includable in income. Realized gains and losses are determined on the basis of specific identification of the securities sold.

Comprehensive Income

Comprehensive income consists of two components, net income and other comprehensive income. Other comprehensive income refers to revenue, expenses, gains and losses that under accounting principles generally accepted in the United States of America are recorded as an element of equity but are excluded from net income. The Company's other comprehensive income is comprised of unrealized gains on marketable debt and equity securities categorized as available-for-sale.

Joint ventures

The Company is the managing partner of four joint ventures, Eldor JV, ELPW JV, ELGN JV and SBU JV, which were formed to perform on contracts specializing in the construction of waste water treatment plants. Eldor JV performs work under contracts located in Cedar Creek and Stony Brook. ELPW JV performs work under a contract located at Port Washington. ELGN JV performs work under a contract located in Great Neck. SBU JV performs work under a contract located in Stony Brook. As of December 31, 2013 and 2012, RJI holds a 54% and 51%, respectively, equity interest in Eldor JV, 50%, equity interest in ELPW JV, a 60%, equity interest in ELGN JV and a 50%, interest in SBU JV.

Accounting principles generally accepted in the United States of America require the Company to consolidate the joint ventures in which they have control. The Company has consolidated Eldor JV, ELPW JV, SBU JV, and ELGN JV with RJI in the accompanying combined financial statements. All intercompany accounts have been eliminated.

Equipment

Equipment is stated at cost. Maintenance and repairs are charged to expense as incurred. Costs of major betterments are capitalized. When equipment is sold or otherwise disposed of, the cost thereof and related accumulated depreciation are eliminated from the respective accounts and any resulting gain or loss is reflected in income. Depreciation is computed over the estimated useful lives of the assets using accelerated methods.

Impairment of long-lived assets

The Company reviews its long-lived assets for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. In performing a review for impairment, the Company compares the carrying value of the assets with their estimated future undiscounted cash flows. If it is determined that an impairment has occurred, the loss would be recognized during that period. The impairment loss is calculated as the difference between the assets' carrying values and the present value of estimated net cash flows or comparable market values, giving consideration to recent operating performance and pricing trends. No impairment losses have been recognized through December 31, 2013 and 2012.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements

Income taxes

RJI and RJM have elected, with the consent of their stockholders, to be treated as "S" Corporations under the applicable provisions of the Internal Revenue and New York State Franchise Tax Codes. Under these sections, corporate income or loss, in general, is taxable to the stockholders in proportion to their respective interests. Therefore, no provision is made for Federal Corporation or New York State Franchise Taxes, except for the New York City tax imposed on "S" Corporations, when applicable. RJA is taxed as a partnership. The members report their respective shares of net taxable income or loss on their respective income tax returns. Therefore, no provision for income taxes is made for these entities.

The Company has no unrecognized tax benefits at December 31, 2013 and 2012. The Company's Federal and state income tax returns prior to fiscal year 2010 are closed and management continually evaluates expiring statutes of limitations, audits, proposed settlements, changes in tax law and new authoritative rulings.

The Company recognizes interest and penalties associated with tax matters as part of general and administrative expenses and includes any accrued interest and penalties in accounts payable and accrued expenses in the combined balance sheets.

Reclassification

Certain accounts in the December 31, 2012 combined financial statements have been reclassified to conform to the December 31, 2013 presentation.

Subsequent events

The Company has evaluated subsequent events through April 30, 2014, which is the date the combined financial statements were available to be issued.

Note 3 - Contracts receivable

Contracts receivable consist of the following at December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Billed:		
Completed contracts	\$ 1,093,172	\$ 2,398,948
Contracts in progress	6,180,168	3,257,454
Retainage	<u>1,999,083</u>	<u>1,887,084</u>
Totals	<u>\$ 9,272,423</u>	<u>\$ 7,543,486</u>

At December 31, 2013 and 2012, retainage receivable includes \$162,868 and \$162,427, respectively, that is not expected to be collected within one year.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Note 4 - Fair value measurements

The Company values its financial assets and liabilities based on the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In order to increase consistency and comparability in fair value measurements, a fair value hierarchy prioritizes observable and unobservable inputs used to measure fair value into three broad levels, which are described below:

- Level 1: Quoted prices (unadjusted) in active markets that are accessible at the measurement date for identical assets or liabilities. The fair value hierarchy gives the highest priority to Level 1 inputs.
- Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in inactive markets; or model-derived valuations in which all significant inputs are observable or can be derived principally from or corroborated with observable market data.
- Level 3: Unobservable inputs are used when little or no market data is available. The fair value hierarchy gives the lowest priority to Level 3 inputs.

In determining fair value, the Company utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs to the extent possible as well as considers counterparty credit risk in its assessment of fair value.

Financial assets carried at fair value at December 31, 2013 are classified in the table below in one of the three categories described above:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Totals</u>
Mutual funds:				
Hybrid funds	\$ 66,416	\$ -	\$ -	\$ 66,416
Equity funds	78,572	-	-	78,572
Bank loan principal	18,381	-	-	18,381
Growth fund	20,421	-	-	20,421
Common stocks:				
Consumer goods	34,355	-	-	34,355
Conglomerate	11,380	-	-	11,380
Financial institution	7,556	-	-	7,556
Other	24,034	-	-	24,034
U.S. treasury bills	74,994	-	-	74,994
Preferred stocks	<u>6,963</u>	<u>-</u>	<u>-</u>	<u>6,963</u>
Totals	<u>\$ 343,072</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 343,072</u>

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Financial assets carried at fair value at December 31, 2012 are classified in the table below in one of the three categories described above:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Totals</u>
Mutual funds:				
Hybrid funds	\$ 102,855	\$ -	\$ -	\$ 102,855
Equity funds	44,534	-	-	44,534
Growth fund	8,644	-	-	8,644
Common stocks:				
Consumer goods	26,937	-	-	26,937
Conglomerate	8,201	-	-	8,201
Other	24,834	-	-	24,834
Preferred stocks	<u>7,026</u>	<u>-</u>	<u>-</u>	<u>7,026</u>
Totals	<u>\$ 223,031</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 223,031</u>

There have been no changes in the methodologies used at December 31, 2013 and 2012.

Investments in common and preferred stocks, U.S. treasury bills, and mutual funds are valued using market prices on active markets (Level 1). Level 1 instrument valuations are obtained from real time quotes for transactions in active markets involving identical assets.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Company believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Note 5 - Marketable securities – available-for-sale:

The cost and fair value of available-for-sale securities at December 31, 2013 is as follows:

	<u>Cost</u>	<u>Gross Unrealized Holding Gains</u>	<u>Gross Unrealized Holding Losses</u>	<u>Fair Value</u>
Mutual funds	\$ 157,762	\$ 26,027	\$ -	\$ 183,789
Common stocks	58,879	18,447	-	77,326
U.S treasury bills	74,990	4	-	74,994
Preferred stocks	<u>6,907</u>	<u>56</u>	<u>-</u>	<u>6,963</u>
Totals	<u>\$ 298,538</u>	<u>\$ 44,534</u>	<u>\$ -</u>	<u>\$ 343,072</u>

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

The cost and fair value of available-for-sale securities at December 31, 2012 is as follows:

	<u>Cost</u>	<u>Gross Unrealized Holding Gains</u>	<u>Gross Unrealized Holding Losses</u>	<u>Fair Value</u>
Mutual funds	\$ 150,273	\$ 5,760	\$ -	\$ 156,033
Common stocks	51,005	8,967	-	59,972
Preferred stocks	<u>6,907</u>	<u>119</u>	<u>-</u>	<u>7,026</u>
Totals	<u>\$ 208,185</u>	<u>\$ 14,846</u>	<u>\$ -</u>	<u>\$ 223,031</u>

During the years ended December 31, 2013 and 2012, net unrealized holding gains on available for sale securities increased \$29,688 and \$15,366, respectively.

The fair value of the Company's investments in marketable securities was determined for each individual security in the investment portfolio. When evaluating the investments for other-than-temporary impairment, the Company reviews factors such as length of time and extent to which fair value has been below cost basis, the financial condition of the issuer and the Company's ability and intent to hold the investment for a period of time, which may be sufficient for anticipated recovery in market value.

Note 6 - Costs and estimated earnings on uncompleted contracts

Costs and estimated earnings on uncompleted contracts consist of the following at December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Costs incurred on uncompleted contracts	\$ 58,489,088	\$ 41,974,916
Estimated earnings	<u>7,478,247</u>	<u>5,176,694</u>
	65,967,335	47,151,610
Less billings to date	<u>67,788,987</u>	<u>48,796,859</u>
Totals	<u>\$ (1,821,652)</u>	<u>\$ (1,645,249)</u>

The above amounts are included in the accompanying combined balance sheets under the following captions at December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Costs and estimated earnings in excess of billings on uncompleted contracts	\$ 356,411	\$ 630,166
Billings in excess of costs and estimated earnings on uncompleted contracts	<u>(2,178,063)</u>	<u>(2,275,415)</u>
Totals	<u>\$ (1,821,652)</u>	<u>\$ (1,645,249)</u>

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Revisions in the estimated gross profits on contracts and contract amounts are made in the period in which the circumstances requiring the revisions become known. During the years ended December 31, 2013 and 2012, the effect of such revisions in estimated contract profits resulted in an decrease to gross profit of approximately \$327,000 and an increase of \$689,000, respectively, from that which would have been reported had the revised estimate been used as the basis of recognition of contract profits in the prior periods.

Although management believes it has established adequate procedures for estimating costs to complete on open contracts, it is at least reasonably possible that additional significant costs could occur on contracts prior to completion.

Note 7 - Equipment

Major classes of equipment are as follows as of December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Computer equipment	\$ 109,344	\$ 109,344
Machinery and equipment	2,440,512	1,568,981
Vehicles	302,066	313,999
Office equipment	<u>11,870</u>	<u>11,870</u>
	2,863,792	2,004,194
Less accumulated depreciation	<u>1,585,369</u>	<u>1,398,935</u>
Totals	<u>\$ 1,278,423</u>	<u>\$ 605,259</u>

Depreciation expense for the years ended December 31, 2013 and 2012 amounted to \$348,987 and \$247,744, respectively.

Note 8 - Line of credit

RJI has a \$2,000,000 working capital line of credit with a bank secured by the Company's assets. All outstanding borrowings must be repaid in full for at least thirty (30) consecutive days during the year and are personally guaranteed by two of the stockholders of RJI. Interest is payable monthly at prime plus .5% with a floor of 3.75%. The line of credit expires in July 2014. At December 31, 2013 and 2012, \$2,000,000 was available to borrow. At December 31, 2013 and 2012 the Company was in compliance with all covenants.

Note 9 - Accounts payable

Included in accounts payable and accrued expenses is retainage payable, which as of December 31, 2013 and 2012, amounted to \$1,217,807 and \$765,317, respectively. At December 31, 2013 and 2012, retainage payable includes approximately \$23,644 and \$135,919, respectively, that is not expected to be paid within one year.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements

Note 10 - Long-term debt

Long-term debt consists of the following at December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Notes payable to a financial institution, due in monthly installments ranging from \$459 to \$1,053 including interest of 0% through August 2016, collateralized by equipment	\$ 43,223	\$ 84,215
Notes payable for the purchase of equipment to a financial institution, due in monthly installments of \$6,680 including interest of 4.25%, through January 2019, collateralized by equipment and guaranteed by two stockholders of the Company.	360,500	-
Notes payable for the financing of insurance premiums, due in aggregate monthly installments of \$56,804 through September 2014, including interest at 4.2%	<u>502,400</u>	<u>327,170</u>
	<u>906,123</u>	<u>411,385</u>
Less current portion	<u>594,713</u>	<u>368,161</u>
Long-term debt	<u>\$ 311,410</u>	<u>\$ 43,224</u>

Principal payment requirements due on the above obligations in each of the years subsequent to December 31, 2013 are as follows:

<u>Year Ending December 31,</u>	<u>Amount</u>
2014	\$ 594,713
2015	76,472
2016	75,391
2017	74,824
2018	78,067
2019	<u>6,656</u>
Total	<u>\$ 906,123</u>

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Note 11 - Common stock

A summary of common stock of RJI and affiliates is as follows:

	<u>2013</u>	<u>2012</u>
<u>R.J. Industries, Inc.</u>		
Common stock, \$100 par value, 200 shares authorized, 100 shares issued and outstanding	\$ 10,000	\$ 10,000
<u>R.J.I. Mechanical, Inc.</u>		
Common stock, no par value, 200 shares authorized, 100 shares issued and outstanding	<u>100</u>	<u>100</u>
Totals	<u>\$ 10,100</u>	<u>\$ 10,100</u>

Note 12 - Related party transactions

The Company provides services to an entity which is owned by the estate of a relative of an officer of the Company. Services rendered consist of consulting and labor which totaled \$96,568 and \$96,786, respectively, for the years ended December 31, 2013 and 2012. In addition, the Company leases office space under a month-to-month arrangement and shares employee services with this related party, which amounts totaled \$251,636 and \$80,774, respectively, for the years ended December 31, 2013 and 2012, and are included in combined cost of revenue and general and administrative expenses. At December 31, 2013 and 2012, the net balance payable to this entity was \$22,107 and \$62,947, respectively.

At December 2013 and 2012, the Company has advances due from officers of the Company totaling \$252,084 and \$32,084, respectively, which are payable on demand.

The Company is contingently liable to a surety under a cross indemnity agreement on construction contracts of a related party for which the Company performs consulting services. At December 31, 2013 and 2012, there were no remaining billings or estimated costs to complete on those contracts.

Note 13 - Backlog

The following represents the backlog of signed contracts in existence at December 31, 2013:

Balance, January 1, 2013	\$ 33,970,814
New contracts and change orders during the year	<u>30,087,098</u>
	64,057,912
Less contract revenue earned during the year	<u>29,406,884</u>
Balance, December 31, 2013	<u>\$ 34,651,028</u>

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements

In addition to the above, RJI entered into additional contracts in 2013 and 2014 in the amount of \$30,748,000 for work which has not yet commenced.

Note 14 - Commitments and contingencies

Lease commitments

The Company leases office and transportation equipment under noncancelable operating leases, which expire through November 2016. Future minimum lease payments in each of the years subsequent to December 31, 2013 are as follows:

<u>Year Ending</u> <u>December 31,</u>	<u>Amount</u>
2014	\$ 28,395
2015	16,034
2016	<u>5,524</u>
Total	<u>\$ 49,953</u>

Rent expense totaled \$45,136 and \$51,655, respectively, for the years ended December 31, 2013 and 2012.

Performance bonds

The Company is contingently liable to a surety under a general indemnity agreement. The Company agrees to indemnify the surety for any payments made on contracts of suretyship, guaranty or indemnity (see Note 12). The Company believes that all contingent liabilities will be satisfied by its performance on the specific bonded contracts.

Note 15 - Concentration risks

Credit risk

Financial instruments, that potentially subject the Company to concentrations of credit risk, consist primarily of cash and cash equivalents and contracts receivable.

The Company maintains cash balances in one financial institution. Accounts at this institution are insured by the Federal Deposit Insurance Corporation. From time to time, the Company's balances may exceed these limits. At December 31, 2013 and 2012, the Company's uninsured bank balances totaled approximately \$1,846,800 and \$1,989,700, respectively. The Company limits its credit risk by selecting financial institutions considered to be highly creditworthy.

Contracts receivable are due from general contractors, various state and local government agencies and private owners located primarily in New York. The Company does not require collateral in most cases, but may file claims against the construction projects if a default in payment occurs.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Customers

The Company obtains its contract work primarily through a competitive bid process. This may result in the Company earning a substantial portion of its revenue from relatively few customers in any given year. During the years ended December 31, 2013 and 2012, the Company earned approximately 78% and 58%, respectively, of its contract revenue from two and one customers, respectively. At December 31, 2013 and 2012, the Company has approximately 71% and 57%, respectively, of its contracts receivable balance due from two customers, respectively.

Labor concentrations

The Company's direct labor is supplied primarily by unions, which have collective bargaining agreements expiring through June 2016. Although the Company's past experience has been favorable with respect to resolving conflicting demands with these unions, it is always possible that a protracted conflict may occur which could impact the renewal of the collective bargaining agreements. At December 31, 2013 and 2012, 60% and 66%, respectively, of the Company's labor force is subject to collective bargaining agreements.

Note 16 - Retirement Plans

Retirement plan - 401(k)

R.J. Industries, Inc. maintains a defined contribution retirement plan, which covers all eligible employees not covered under collective bargaining agreements as stipulated by the plan's document and provides for eligible employees to make elective contributions based on salary. There is no prior service cost associated with this plan. The Company has a safe-harbor match agreement and matches the employees salaries up to 4% based on contribution amount. The Company funds all retirement contributions as accrued. Pension expense amounted to \$70,165 and \$66,949, respectively, for the years ended December 31, 2013 and 2012.

Multi-employer pension plans

The Company contributes to multi-employer pension plans under collective bargaining agreements which provide retirement benefits for its various union employees. The risks of participating in multi-employer plans are different from single employer plans as assets contributed are available to provide benefits to employees of other employers and unfunded obligations from an employer that discontinues contributions are the responsibility of all remaining employers. In addition, in the event of a plan's termination or the Company's withdrawal from a plan, the Company may be liable for a portion of the plan's unfunded vested benefits. The Company does not anticipate withdrawal from the plans, nor is the Company aware of any expected plan terminations.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

The Company's contributions to these plans were less than 5% of each such plan's total contributions. Unless otherwise noted, the most recent Pension Protection Act zone status available in 2013 is for the plan's year-end at December 31, 2013. The zone status is based on information that the Company received from the plan and is certified by the plan's actuary. Among other factors, plans in the red zone are generally less than 65% funded, plans in the yellow zone are less than 80% funded, and plans in the green zone are at least 80% funded. The "FIP/RP Status Pending/Implemented" column indicates plans for which a financial improvement plan ("FIP") or a rehabilitation plan ("RP") is either pending or has been implemented. Information for significant multi-employer pension plans in which the Company participates is included in the table below:

Pension Fund	EIN/Pension Plan Number	Pension Zone Status 2012	FIP/RP Status Pending or Implemented	Contributions		Surcharge Imposed	Expiration Date of Collective Bargaining Agreement
				2013	2012		
New York City District Council Of Carpenters Pension	51-0174276/001	Green	No	\$ 79,884	\$ 96,198	No	6/30/14
General Laborers Local 66 Pension Fund	11-1974385/001	Green	No	109,460	128,440	No	6/30/14
Central Pension Fund of the International Union of Operating Engineers and Participating Employers	36-6052390/001	Green	No	82,799	92,210	No	5/31/15
Plumbers Local Union 200 Pension Fund	11-3125387/001	Yellow	Yes	89,216	103,025	Yes	4/30/15
Local 282 Pension Trust Fund	11-6245313/001	Green	No	29,452	22,011	No	6/30/16
Empire State Carpenters' Pension Fund	11-1991772/001	Yellow	Yes	71,284	90,020	No	6/30/14
Excavators Union Local 731 Pension Fund	13-1809825/002	Green	No	30,578	34,534	No	6/30/15
Steam Fitters Union Local 639 Pension Fund	13-6149680/001	Green	No	31,701	-	No	6/30/15
Pavers and Road Builders District Council Pension Fund	13-1990171/074	Green	No	21,825	13,218	No	6/30/15
Other plans				43,621	26,633		
Totals				\$ 589,820	\$ 606,189		

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements

Note 17 - Non-controlling Interest

Eldor JV, ELPW JV, ELGN JV and SBU JV ("JV's") were formed to perform on contracts specializing in the construction of waste water treatment plants. R.J. Industries, Inc. consolidates the JV's assets and liabilities and eliminates the intercompany labor and equipment rental income and expenses.

The following table summarizes the carrying amount of the assets and liabilities of the non-controlling interest of JV's included in the combined financial statements at December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Assets:		
Cash and cash equivalents	\$ 316,106	\$ 1,340,124
Contracts receivable	856,267	1,328,593
Due from member	9,596	9,596
Costs in excess of costs and estimated		
Earnings on uncompleted contract	91,061	-
Prepaid expenses and other current assets	<u>49,706</u>	<u>88,242</u>
Totals	<u>\$ 1,322,736</u>	<u>\$ 2,766,555</u>
Liabilities:		
Accounts payable and accrued expenses	\$ 628,845	\$ 1,082,590
Due to member	115,812	243,120
Accounts payable – related party	210	210
Billings on excess of costs and estimated		
earnings on uncompleted contract	<u>-</u>	<u>403,730</u>
Totals	<u>\$ 744,867</u>	<u>\$ 1,729,650</u>

R.J. Industries, Inc. and Affiliates

**Combined Cost of Revenue and General and Administrative Expenses
Years Ended December 31, 2013 and 2012**

	<u>2013</u>	<u>2012</u>
Cost of revenue:		
Materials	\$ 9,554,292	\$ 6,234,191
Direct labor	4,229,226	4,442,994
Fringe benefit costs	3,366,380	3,214,987
Subcontracting	6,697,424	6,353,075
Depreciation	278,444	167,093
Other cost of revenue	<u>2,070,207</u>	<u>2,298,806</u>
Total cost of revenue	\$ <u>26,195,973</u>	\$ <u>22,711,146</u>
General and administrative expenses:		
Officers' salaries	\$ 736,395	\$ 685,575
Office salaries	515,764	485,061
Payroll taxes	127,251	86,913
401K expense	70,165	66,949
Management fee	63,751	54,877
Bidding	18,746	14,995
Computer	33,254	29,804
Depreciation	70,543	80,651
Charitable contributions	31,680	24,387
Insurance	414,587	314,564
Office expenses	137,090	109,849
Sales tax expense	44,434	48,516
Professional fees	135,210	128,653
Rentals	45,135	51,655
Repairs and maintenance	172,170	123,281
Travel and entertainment	79,848	65,351
Management fee income	<u>(48,533)</u>	<u>(178,871)</u>
Total general and administrative expenses	\$ <u>2,647,490</u>	\$ <u>2,192,210</u>

See Independent Auditor's Report.

R.J. Industries, Inc. and Affiliates

Combined Contracts in Progress
December 31, 2013

Job Name	Total Contract Price	Total Estimated Costs	Estimated Gross Profit	Billed To Date	Costs To Date	Percent Complete	Costs and Estimated Earnings in Excess of Billings	Billings in Excess of Costs and Estimated Earnings	Estimated Completion Date
Cedarhurst Lawrence	\$ 4,964,000	\$ 4,052,770	\$ 911,230	\$ 2,577,863	\$ 1,871,022	46.17%	\$ -	\$ 686,153	12/17
Bergen Point valves	2,771,072	2,493,565	277,507	1,231,010	1,352,723	50.63%	172,015	-	9/14
Bergen Point - OC & HVAC	2,498,992	2,405,032	52,960	2,104,477	1,980,262	82.72%	-	70,407	7/14
Marltonville Bus Wash	2,320,202	1,972,172	348,030	2,132,802	1,695,239	85.99%	-	138,333	7/14
Bergen Point - UV	13,864,500	11,785,631	1,278,869	12,142,724	9,599,331	81.45%	-	1,013,085	8/14
Great Neck Microturbine	1,595,500	1,785,530	(189,550)	485,021	350,235	19.50%	-	166,804	8/14
Bay Park Cedar Creek Odor Control	24,787,700	22,280,990	2,476,710	514,490	387,112	1.74%	-	84,366	9/15
Long Beach New York Avenue	448,400	403,550	44,850	116,243	55,591	13.77%	-	54,496	7/14
Mother Clara	481,571	415,414	48,157	325,379	270,841	65.20%	-	24,444	5/14
Great Neck JV	48,766,425	41,751,231	5,015,195	45,747,978	41,006,522	98.22%	184,356	-	7/14
	\$ 103,618,583	\$ 89,957,555	\$ 11,250,708	\$ 57,788,987	\$ 58,486,088		\$ 356,411	\$ 2,178,063	

See Independent Auditor's Report.

R.J. Industries, Inc. and Affiliates

Combined Earnings from Contracts
Year Ended December 31, 2013

	Contract Totals				Prior to January 1, 2013				Year Ended December 31, 2013			
	Revenue Earned	Cost of Revenue	Gross Profit (Loss) Percent		Revenue Earned	Cost of Revenue	Gross Profit (Loss) Percent		Revenue Earned	Cost of Revenue	Gross Profit (Loss) Percent	
Contracts in progress:												
Cedarhurst Lawrence	\$ 2,291,705	\$ 1,871,022	18.36%	\$ 420,683	\$ 1,760,694	\$ 1,433,282	18.10%	\$ 316,772	\$ 541,641	\$ 437,790	\$ 103,911	
Bergen Point - Valves	1,403,025	1,262,723	10.00%	140,302	53,547	48,192	5.35%	5,355	1,349,478	1,214,531	134,947	
Bergen Point - OC & HVAC	2,034,070	1,990,262	2.15%	43,808	542,073	487,866	10.00%	54,207	1,491,997	1,502,396	(10,399)	
Merhamville Bus Wash	1,994,469	1,694,299	15.00%	299,170	44,924	40,432	4.49%	4,492	1,949,545	1,854,867	94,678	
Bergen Point - UV	11,129,659	9,599,331	13.75%	1,530,328	249,941	224,946	10.00%	24,995	10,879,718	9,374,385	1,505,333	
Great Neck Microturbine	389,217	350,295	10.00%	38,922	-	-	-	-	383,217	350,295	32,922	
Bay Park Cedar Creek Odor Control	430,124	387,112	10.00%	43,012	-	-	-	-	430,124	387,112	43,012	
Long Beach New York Avenue	61,757	55,581	10.00%	6,176	135,728	122,155	13.57%	13,573	61,757	55,581	6,176	
Mother Clara	300,934	270,841	10.00%	30,093	37,153,661	32,893,102	11.47%	4,260,559	165,206	148,686	16,520	
Great Neck JV	45,932,375	41,005,622	10.72%	4,926,753					8,778,714	8,113,520	665,194	
Contract Totals	65,967,335	58,489,088		7,478,247	39,929,998	35,249,985		4,579,953	26,037,397	23,239,103	2,798,294	
Completed contracts:												
Bay Park Emergency	809,700	662,813	18.14%	146,887	-	-	-	-	809,700	662,813	146,887	
Port Wash - Biosolids	1,064,113	821,739	22.78%	242,374	-	-	-	-	203,183	49,637	153,546	
Water Authority of Western Nassau Co	788,078	704,287	7.10%	83,791	644,204	579,784	10.00%	64,420	113,874	124,503	(10,629)	
Greater Atlantic Beach	4,907,897	4,775,597	2.61%	127,900	4,621,216	4,387,266	5.06%	233,950	286,681	392,791	(106,050)	
Huntington	1,827,521	1,635,045	10.53%	192,476	1,095,323	985,779	10.00%	109,544	792,198	649,366	82,832	
Great Neck Floumador	238,011	181,096	23.91%	56,915	-	-	-	-	238,011	181,096	56,915	
Bay Park Mobile Dredging	51,953	29,129	43.85%	22,829	-	-	-	-	51,968	29,129	22,839	
Waldorf Astoria - Various	186,465	122,181	37.81%	74,284	-	-	-	-	186,465	122,181	74,284	
Closed jobs	181,355	154,328	14.90%	27,027	-	-	-	-	181,355	154,328	27,027	
	556,052	591,186	(6.32%)	(35,134)	-	-	-	-	556,052	591,186	(35,134)	
Contract Totals	10,561,160	9,681,801		909,359	7,221,673	6,724,931		496,742	3,369,487	2,955,670	412,817	
Total	\$ 76,556,495	\$ 68,170,889		\$ 8,387,606	\$ 47,151,671	\$ 41,974,916		\$ 5,176,695	\$ 29,406,884	\$ 25,195,973	\$ 3,210,911	

See Independent Auditor's Report.

R.J. Industries, Inc. and Affiliates

**Combined Financial Statements
(With Supplementary Information)
and Independent Auditor's Report**

December 31, 2014 and 2013

R.J. Industries, Inc. and Affiliates

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Independent Auditor's Report

To the Stockholders and Partners
R.J. Industries, Inc. and Affiliates

Report on Financial Statements

We have audited the accompanying combined financial statements of R.J. Industries, Inc. and Affiliates (collectively the "Company"), which comprise the combined balance sheets as of December 31, 2014 and 2013, and the related combined statements of income and comprehensive income, equity and cash flows for the years then ended, and the related notes to the combined financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these combined financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of combined financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these combined financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the combined financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the combined financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the combined financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the combined financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the combined financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the combined financial statements referred to above present fairly, in all material respects, the financial position of R.J. Industries, Inc. and Affiliates as of December 31, 2014 and 2013, and the results of their operations and their cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the combined financial statements as a whole. The supplementary information included on pages 24 through 26 is presented for purposes of additional analysis and is not a required part of the combined financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the combined financial statements. The information has been subjected to the auditing procedures applied in the audits of the combined financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the combined financial statements or to the combined financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion the information is fairly stated in all material respects in relation to the combined financial statements as a whole.

CohnReznick LLP

Jericho, New York
April 30, 2015

R.J. Industries, Inc. and Affiliates

Combined Balance Sheets
December 31, 2014 and 2013

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Current assets:		
Cash and cash equivalents	\$ 1,869,137	\$ 858,413
Contracts receivable	15,300,486	9,272,423
Marketable securities	287,412	343,072
Costs and estimated earnings in excess of billings on uncompleted contracts	1,180,231	356,411
Prepaid expenses and other current assets	2,717,842	1,262,070
Prepaid income taxes	11,702	5,851
Deferred costs	15,976	36,168
Advances to officers	252,084	252,084
Total current assets	<u>21,634,870</u>	<u>12,386,492</u>
Equipment, net	1,396,195	1,278,423
Investment in real estate partnership	224,979	250,145
Security deposit	1,825	1,825
Totals	<u>\$ 23,257,869</u>	<u>\$ 13,916,885</u>
<u>Liabilities and Equity</u>		
Current liabilities:		
Accounts payable and accrued expenses	\$ 10,558,628	\$ 4,673,466
Accounts payable - related party	62,748	22,107
Line of credit	300,000	-
Current portion of long-term debt	1,329,867	594,713
Deferred billings	-	10,612
Billings in excess of costs and estimated earnings on uncompleted contracts	4,229,679	2,178,063
Total current liabilities	<u>16,480,922</u>	<u>7,478,961</u>
Long-term debt, less current portion	300,276	311,410
Total liabilities	<u>16,781,198</u>	<u>7,790,371</u>
Commitments and contingencies		
Equity:		
Common stock	10,100	10,100
Additional paid-in capital	92,000	92,000
Retained earnings	5,640,014	5,401,469
Partners' capital	274	552
Accumulated other comprehensive income	43,912	44,534
Total R.J. Industries, Inc. and Affiliates equity	<u>5,786,300</u>	<u>5,548,645</u>
Noncontrolling Interest	690,371	577,869
Total equity	<u>6,476,671</u>	<u>6,126,514</u>
Totals	<u>\$ 23,257,869</u>	<u>\$ 13,916,885</u>

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates

Combined Statements of Income and Comprehensive Income
Years Ended December 31, 2014 and 2013

	2014	2013
Revenue	\$ 34,284,285	\$ 29,406,884
Cost of revenue	<u>30,294,745</u>	<u>26,195,973</u>
Gross profit	3,989,540	3,210,911
General and administrative expenses	<u>3,011,355</u>	<u>2,647,490</u>
Operating Income	<u>978,185</u>	<u>563,421</u>
Other income (expense):		
Interest income	16,278	14,093
Dividend income	16,887	11,283
Loss on investment in real estate partnership	(13,166)	(29,962)
Gain on sale of marketable securities	5,546	3,087
Gain on sale of fixed assets	47,645	40,134
Insurance proceeds for damaged fixed assets	-	35,974
Interest expense	<u>(65,183)</u>	<u>(9,817)</u>
Totals	<u>8,007</u>	<u>64,792</u>
Income before provision for income taxes	986,192	628,213
Provision for income taxes	<u>959</u>	<u>1,265</u>
Combined net income	985,233	626,948
Net income (loss) attributable to the noncontrolling interest	<u>167,500</u>	<u>(144,036)</u>
Net income attributable to R.J. Industries, Inc. and Affiliates	<u>817,733</u>	<u>770,984</u>
Other comprehensive income:		
Unrealized (loss) gains on marketable securities:		
Unrealized holding (loss) gains arising during the year	(6,168)	26,601
Reclassification adjustment for gain included in net income	<u>5,546</u>	<u>3,087</u>
Total other comprehensive (loss) income	<u>(622)</u>	<u>29,688</u>
Combined comprehensive income	<u>\$ 984,611</u>	<u>\$ 656,636</u>

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates

Combined Statements of Equity
Years Ended December 31, 2014 and 2013

	Common Stock Shares	Amount	Additional Paid-in Capital	Retained Earnings	Partners' Capital	Accumulated Other Comprehensive Income (Loss)	Total	Noncontrolling Interest	Total Equity
Balance, January 1, 2013	200	\$ 10,100	\$ 92,000	\$ 5,009,604	\$ 500	\$ 14,846	\$ 5,127,050	\$ 1,036,905	\$ 6,163,955
Combined net income (loss)	-	-	-	770,932	52	-	770,984	(144,036)	626,948
Other comprehensive income	-	-	-	-	-	29,688	29,688	-	29,688
Distributions	-	-	-	(379,077)	-	-	(379,077)	(315,000)	(694,077)
Balance, December 31, 2013	200	10,100	92,000	5,401,459	552	44,534	5,548,645	577,869	6,126,514
Combined net income (loss)	-	-	-	318,011	(278)	-	317,733	167,500	985,233
Other comprehensive loss	-	-	-	-	-	(622)	(622)	-	(622)
Distributions	-	-	-	(579,455)	-	-	(579,455)	(54,998)	(634,454)
Balance, December 31, 2014	200	\$ 10,100	\$ 92,000	\$ 5,840,014	\$ 274	\$ 43,912	\$ 5,786,300	\$ 690,371	\$ 6,476,671

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See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates
Combined Statements of Cash Flows
Years Ended December 31, 2014 and 2013

	<u>2014</u>	<u>2013</u>
Operating activities:		
Combined net income	\$ 985,233	\$ 626,948
Adjustments to reconcile combined net income to net cash provided by (used in) operating activities:		
Depreciation	483,124	348,987
Gain on sale of fixed assets	(47,645)	(40,134)
Gain on sale of marketable securities	(5,546)	(3,087)
Loss on investment in real estate partnership, net of distributions received of \$12,000 in 2014	25,166	35,962
Changes in operating assets and liabilities:		
Contracts receivable	(6,028,063)	(1,728,937)
Costs and estimated earnings in excess of billings on uncompleted contracts	(823,820)	273,755
Prepaid expenses and other current assets	943,472	(387,999)
Prepaid income taxes	(5,851)	(4,228)
Deferred costs	20,192	(33,871)
Accounts payable and accrued expenses	5,885,162	103,141
Accounts payable - related party	40,641	(40,840)
Deferred billings	(10,512)	10,612
Billings in excess of costs and estimated earnings on uncompleted contracts	2,051,616	(97,352)
Net cash provided by (used in) operating activities	<u>3,513,069</u>	<u>(937,043)</u>
Investing activities:		
Purchases of equipment	(609,251)	(1,045,367)
Proceeds from disposal of fixed assets	56,000	63,355
Advances to officers, net	-	(220,000)
Investment in marketable securities	(200,711)	(204,661)
Sale of marketable securities	274,674	125,947
Reinvested dividends	(13,379)	(8,557)
Net cash used in investing activities	<u>(492,667)</u>	<u>(1,289,283)</u>
Financing activities:		
Repayments of long-term debt	(1,787,541)	(368,162)
Proceeds from line of credit	300,000	-
Proceeds from long-term debt	112,317	862,900
Noncontrolling interest - distributions	(54,998)	(315,000)
Distributions to stockholders	(579,456)	(379,077)
Net cash used in financing activities	<u>(2,009,678)</u>	<u>(199,339)</u>
Net increase (decrease) in cash and cash equivalents	1,010,724	(2,425,665)
Cash and cash equivalents, beginning of year	<u>858,413</u>	<u>3,284,078</u>
Cash and cash equivalents, end of year	<u>\$ 1,869,137</u>	<u>\$ 858,413</u>
Supplemental disclosures of cash flow data:		
Interest paid	\$ 65,183	\$ 9,817
Income taxes paid	\$ 6,810	\$ 4,276
Supplemental disclosures of noncash financing:		
Equipment purchased with financing	\$ -	\$ 360,500
Insurance financing	<u>\$ 2,399,243</u>	<u>\$ 502,400</u>

See Notes to Combined Financial Statements.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013

Note 1 - Nature of operations

R.J. Industries, Inc. ("RJI") primarily performs on construction contracts and provides rental of heavy equipment to customers in the New York Metropolitan area. During 2008, the stockholders of RJI formed R.J.I. Mechanical, Inc. ("RJM"), an "S" Corporation, and R.J. Associates ("RJA"), a partnership, to perform on plumbing and mechanical contracts and hold life insurance policies, respectively. RJI invested in two joint ventures in 2008, R.J. Industries/Eldor Contracting Corporation J.V. ("Eldor JV") and R.J. Industries/Eldor Contracting Corporation PW BNR J.V. ("ELPW JV"). RJI invested in a third joint venture in 2010, RJ/Eldor Great Neck Venture, J.V. ("ELGN JV"). In 2012, RJI invested in a fourth joint venture, RJ/Eldor SBU, JV ("SBU JV"). In 2014, RJI invested in a tri-venture, BSRJ, A TV ("BSRJ, A TV"), and a joint venture, RJ/Scalamandre JV ("RJ/SCAL JV"). All five joint ventures and one tri-venture perform on contracts specializing in the construction and rehabilitation of wastewater treatment plants.

The combined financial statements include the accounts of RJI and its Affiliates RJM, RJA, and the consolidated joint ventures Eldor JV, ELPW JV, ELGN JV, SBU JV, RJ/SCAL JV and BSRJ, A TV (collectively the "Company"). All combined entities are affiliated by common control and report on a December 31st year-end. All intercompany accounts have been eliminated in combination.

Note 2 - Summary of significant accounting policies

Use of estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Operating cycle

The length of the Company's contracts varies, but is typically between one to three years. Assets and liabilities relating to long-term contracts are included in current assets and current liabilities in the accompanying combined balance sheets as they will be liquidated in the normal course of contract completion, although this may require more than one year.

Revenue and cost recognition

Equipment rental revenue is recognized under the accrual method when the service is rendered, net of applicable sales tax.

Construction revenue is recognized on the "percentage-of-completion" method, measured by the percentage of total costs incurred to date to estimated total costs for each contract. This method is utilized because management considers the cost-to-cost method to be the best available measure of progress on these contracts.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Contract costs include all direct material and labor costs and those indirect costs related to contract performance, such as indirect labor, supplies, tools, repairs and depreciation costs. General and administrative costs are charged to expense as incurred. Provisions for estimated losses on uncompleted contracts are made in the period in which such losses are determined. Changes in job performance, job conditions and estimated profitability, including those arising from contract penalty provisions, and final contract settlements may result in revisions to costs and income and are recognized in the period in which the revisions are determined. Profit incentives are included in revenue when their realization is reasonably assured. An amount equal to contract costs attributable to claims is included in revenue when realization is probable and the amount can be reliably estimated. Claims on contracts are not recorded until it is probable that the claim will result in additional contract revenue and the amounts can be reliably estimated.

The asset, "Costs and estimated earnings in excess of billings on uncompleted contracts", represents revenue recognized in excess of amounts billed. The liability, "Billings in excess of costs and estimated earnings on uncompleted contracts", represents billings in excess of revenue recognized.

Cash and cash equivalents

The Company considers all cash accounts, which are not subject to withdrawal restrictions or penalties, and all highly liquid instruments purchased with a maturity of three months or less when acquired to be cash equivalents. Cash equivalents consist of money market funds.

Contracts receivable

Contracts receivable from performing general construction are based on contracted prices. On a periodic basis, the Company evaluates its contracts receivable and establishes an allowance for doubtful accounts based on historical write-offs, collections and current credit considerations. As of December 31, 2014 and 2013, an allowance for doubtful accounts was not deemed necessary.

Marketable securities

The Company has a diverse portfolio of investments in debt and marketable equity securities. Management determines the appropriate classification of the securities at the time they are acquired and evaluates the appropriateness of such classifications at each combined balance sheet date.

Available-for-sale securities consist of debt securities and marketable equity securities not classified as trading or held-to-maturity. Available-for-sale securities are stated at fair value and unrealized holding gains and losses are reported as a separate component of accumulated other comprehensive income within equity.

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements Years Ended December 31, 2014 and 2013

Interest on debt securities is recognized in income as earned and dividends on marketable equity securities are recognized in income when declared. Realized gains and losses, including losses from declines in value of specific securities determined by management to be other-than-temporary, are includable in income. Realized gains and losses are determined on the basis of specific identification of the securities sold.

Comprehensive Income

Comprehensive income consists of two components, net income and other comprehensive income. Other comprehensive income refers to revenue, expenses, gains and losses that under accounting principles generally accepted in the United States of America are recorded as an element of equity but are excluded from net income. The Company's other comprehensive income is comprised of unrealized gains on marketable debt and equity securities categorized as available-for-sale.

Joint ventures

The Company is the managing partner of five joint ventures and one tri-venture, Eldor JV, ELPW JV, ELGN JV, SBU JV, RJ/SCAL JV, and BSRJ, A TV, which were formed to perform on contracts specializing in the construction of wastewater treatment plants. Eldor JV performs work under contracts located in Cedar Creek and Stony Brook. ELPW JV performs work under a contract located at Port Washington. ELGN JV performs work under a contract located in Great Neck. SBU JV performs work under a contract located in Stony Brook. RJ/SCAL performs work under a contract located in Bay Park. BSRJ, A TV performs work under a contract located at Bay Park. As of December 31, 2014, RJI holds a 54% equity interest in Eldor JV, a 50% equity interest in ELPW JV, a 60% equity interest in ELGN JV, a 50% interest in SBU JV, a 50% interest in RJ/SCAL JV and a 33.33% interest in BSRJ, A TV. As of December 31, 2014, Eldor JV and SBU JV have been terminated as a result of the completion of their respective contracts.

Accounting principles generally accepted in the United States of America require the Company to consolidate the joint ventures in which they have control. The Company has consolidated Eldor JV, ELPW JV, SBU JV, ELGN JV, BSRJ, A TV and RJ/SCAL JV with RJI in the accompanying combined financial statements. All intercompany accounts have been eliminated.

Equipment

Equipment is stated at cost. Maintenance and repairs are charged to expense as incurred. Costs of major betterments are capitalized. When equipment is sold or otherwise disposed of, the cost thereof and related accumulated depreciation are eliminated from the respective accounts and any resulting gain or loss is reflected in income. Depreciation is computed over the estimated useful lives of the assets using accelerated methods.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Impairment of long-lived assets

The Company reviews its long-lived assets for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. In performing a review for impairment, the Company compares the carrying value of the assets with their estimated future undiscounted cash flows. If it is determined that an impairment has occurred, the loss would be recognized during that period. The impairment loss is calculated as the difference between the assets' carrying values and the present value of estimated net cash flows or comparable market values, giving consideration to recent operating performance and pricing trends. No impairment losses have been recognized through December 31, 2014 and 2013.

Income taxes

RJI and RJM have elected, with the consent of their stockholders, to be treated as "S" Corporations under the applicable provisions of the Internal Revenue and New York State Franchise Tax Codes. Under these sections, corporate income or loss, in general, is taxable to the stockholders in proportion to their respective interests. Therefore, no provision is made for Federal Corporation or New York State Franchise Taxes, except for the New York City tax imposed on "S" Corporations, when applicable. RJA is taxed as a partnership. The members report their respective shares of net taxable income or loss on their respective income tax returns. Therefore, no provision for income taxes is made for these entities.

The Company has no unrecognized tax benefits at December 31, 2014 and 2013. The Company's Federal and state income tax returns prior to fiscal year 2011 are closed and management continually evaluates expiring statutes of limitations, audits, proposed settlements, changes in tax law and new authoritative rulings.

The Company recognizes interest and penalties associated with tax matters as part of general and administrative expenses and includes any accrued interest and penalties in accounts payable and accrued expenses in the combined balance sheets.

Subsequent events

The Company has evaluated subsequent events through April 30, 2015, which is the date the combined financial statements were available to be issued.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Note 3 - Contracts receivable

Contracts receivable consist of the following at December 31, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Billed:		
Completed contracts	\$ 867,369	\$ 1,093,172
Contracts in progress	12,132,199	6,180,168
Retainage	<u>2,300,918</u>	<u>1,999,083</u>
Totals	<u>\$ 15,300,486</u>	<u>\$ 9,272,423</u>

At December 31, 2014 and 2013, retainage receivable includes \$1,396,854 and \$162,868, respectively, that is not expected to be collected within one year.

Note 4 - Fair value measurements

The Company values its financial assets and liabilities based on the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In order to increase consistency and comparability in fair value measurements, a fair value hierarchy prioritizes observable and unobservable inputs used to measure fair value into three broad levels, which are described below:

- Level 1: Quoted prices (unadjusted) in active markets that are accessible at the measurement date for identical assets or liabilities. The fair value hierarchy gives the highest priority to Level 1 inputs.
- Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in inactive markets; or model-derived valuations in which all significant inputs are observable or can be derived principally from or corroborated with observable market data.
- Level 3: Unobservable inputs are used when little or no market data is available. The fair value hierarchy gives the lowest priority to Level 3 inputs.

In determining fair value, the Company utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs to the extent possible as well as considers counterparty credit risk in its assessment of fair value.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013

Financial assets carried at fair value at December 31, 2014 are classified in the table below in one of the three categories described above:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Totals</u>
Mutual funds:				
Hybrid funds	\$ 68,656	\$ -	\$ -	\$ 68,656
Equity funds	87,915	-	-	87,915
Growth fund	21,669	-	-	21,669
Common stocks:				
Consumer goods	35,976	-	-	35,976
Financial institution	7,846	-	-	7,846
Other	58,365	-	-	58,365
Preferred stocks	<u>6,985</u>	<u>-</u>	<u>-</u>	<u>6,985</u>
Totals	<u>\$ 287,412</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 287,412</u>

Financial assets carried at fair value at December 31, 2013 are classified in the table below in one of the three categories described above:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Totals</u>
Mutual funds:				
Hybrid funds	\$ 66,416	\$ -	\$ -	\$ 66,416
Equity funds	78,572	-	-	78,572
Bank loan principal	18,381	-	-	18,381
Growth fund	20,421	-	-	20,421
Common stocks:				
Consumer goods	34,355	-	-	34,355
Conglomerate	11,380	-	-	11,380
Financial institution	7,556	-	-	7,556
Other	24,034	-	-	24,034
U.S. treasury bills	74,994	-	-	74,994
Preferred stocks	<u>6,963</u>	<u>-</u>	<u>-</u>	<u>6,963</u>
Totals	<u>\$ 343,072</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 343,072</u>

There have been no changes in the methodologies used at December 31, 2014 and 2013.

Investments in common and preferred stocks, U.S. treasury bills, and mutual funds are valued using market prices in active markets (Level 1). Level 1 instrument valuations are obtained from real time quotes for transactions in active markets involving identical assets.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Company believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Note 5 - Marketable securities - available-for-sale

The cost and fair value of available-for-sale securities at December 31, 2014 is as follows:

	Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses	Fair Value
Mutual funds	\$ 152,083	\$ 26,157	\$ -	\$ 178,240
Common stocks	84,510	17,677	-	102,187
Preferred stocks	<u>6,907</u>	<u>78</u>	<u>-</u>	<u>6,985</u>
Totals	<u>\$ 243,500</u>	<u>\$ 43,912</u>	<u>\$ -</u>	<u>\$ 287,412</u>

The cost and fair value of available-for-sale securities at December 31, 2013 is as follows:

	Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses	Fair Value
Mutual funds	\$ 157,762	\$ 26,027	\$ -	\$ 183,789
Common stocks	58,879	18,447	-	77,326
U.S treasury bills	74,990	4	-	74,994
Preferred stocks	<u>6,907</u>	<u>56</u>	<u>-</u>	<u>6,963</u>
Totals	<u>\$ 298,538</u>	<u>\$ 44,534</u>	<u>\$ -</u>	<u>\$ 343,072</u>

During the years ended December 31, 2014 and 2013, net unrealized holding gains on available-for-sale securities decreased \$622 and increased \$29,688, respectively.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013

The fair value of the Company's investments in marketable securities was determined for each individual security in the investment portfolio. When evaluating the investments for other-than-temporary impairment, the Company reviews factors such as length of time and extent to which fair value has been below cost basis, the financial condition of the issuer and the Company's ability and intent to hold the investment for a period of time, which may be sufficient for anticipated recovery in market value.

Note 6 - Costs and estimated earnings on uncompleted contracts

Costs and estimated earnings on uncompleted contracts consist of the following at December 31, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Costs incurred on uncompleted contracts	\$ 71,568,148	\$ 58,489,088
Estimated earnings	<u>8,809,546</u>	<u>7,478,247</u>
	80,377,694	65,967,335
Less billings to date	<u>83,427,142</u>	<u>67,788,987</u>
Totals	<u>\$ (3,049,448)</u>	<u>\$ (1,821,652)</u>

The above amounts are included in the accompanying combined balance sheets under the following captions at December 31, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Costs and estimated earnings in excess of billings on uncompleted contracts	\$ 1,180,231	\$ 356,411
Billings in excess of costs and estimated earnings on uncompleted contracts	<u>(4,229,679)</u>	<u>(2,178,063)</u>
Totals	<u>\$ (3,049,448)</u>	<u>\$ (1,821,652)</u>

Revisions in the estimated gross profits on contracts and contract amounts are made in the period in which the circumstances requiring the revisions become known. During the years ended December 31, 2014 and 2013, the effect of such revisions in estimated contract profits resulted in an increase to gross profit of approximately \$337,000 and a decrease of \$327,000, respectively, from that which would have been reported had the revised estimate been used as the basis of recognition of contract profits in the prior periods.

Although management believes it has established adequate procedures for estimating costs to complete on open contracts, it is at least reasonably possible that additional significant costs could occur on contracts prior to completion.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013

Note 7 - Equipment

Major classes of equipment are as follows as of December 31, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Computer equipment	\$ 115,804	\$ 109,344
Machinery and equipment	2,871,129	2,440,512
Vehicles	373,874	302,066
Office equipment	<u>11,870</u>	<u>11,870</u>
	3,372,677	2,863,792
Less accumulated depreciation	<u>1,976,482</u>	<u>1,585,369</u>
Totals	<u>\$ 1,396,195</u>	<u>\$ 1,278,423</u>

Depreciation expense for the years ended December 31, 2014 and 2013 amounted to \$483,124 and \$348,987, respectively.

Note 8 - Line of credit

RJI has a \$2,000,000 working capital line of credit with a bank secured by the Company's assets. All outstanding borrowings must be repaid in full for at least thirty (30) consecutive days during the year and are personally guaranteed by two of the stockholders of RJI. Interest is payable monthly at prime plus .5% with a prime floor of 3.75%. The line of credit expires in August 31, 2015. At December 31, 2014 and 2013, \$2,000,000 was available to borrow. At December 31, 2014 and 2013 the Company was in compliance with all covenants.

BSRJ, A TV has a working capital line of credit with a bank in the amount of \$1,500,000. Borrowings under the line are guaranteed by RJI and the other two partners in the tri-venture as well as three individual stockholders of a tri-venture partner and RJI. Interest is payable monthly at prime plus .25% with a prime floor of 3.75%. The line of credit expires May 29, 2015. There was \$0 outstanding as of December 31, 2014. At December 31, 2014 the Company was in compliance with all covenants.

In addition, RJ/SCAL has a working capital line of credit with a bank in the amount of \$1,000,000. Borrowings under the line are guaranteed by RJI and the other partner in the joint venture as well as two individual stockholders of RJI. Interest is payable monthly at prime plus .5% with a prime floor of 3.75%. The line of credit expires August 31, 2015. There was \$300,000 outstanding as of December 31, 2014. At December 31, 2014 the Company was in compliance with all the covenants.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Note 9 - Accounts payable

Included in accounts payable and accrued expenses is retainage payable, which as of December 31, 2014 and 2013 amounted to \$1,355,054 and \$1,217,807, respectively. At December 31, 2014 and 2013, retainage payable includes approximately \$477,167 and \$23,644, respectively, that is not expected to be paid within one year.

Note 10 - Long-term debt

Long-term debt consists of the following at December 31, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Notes payable to financial institutions, due in monthly installments ranging from \$459 to \$1,099 including interest of 0% through June 2019, collateralized by equipment	\$ 108,740	\$ 43,223
Notes payable for the purchase of equipment to a financial institution, due in monthly installments of \$6,680 including interest of 4.25% through January 2019, collateralized by equipment and guaranteed by two stockholders of the Company	300,002	360,500
Notes payable for the financing of insurance premiums, due in aggregate monthly installments of \$211,223 through December 2015, including interest at 4.2%	<u>1,221,401</u>	<u>502,400</u>
	<u>1,630,143</u>	<u>906,123</u>
Less current portion	<u>1,329,867</u>	<u>594,713</u>
Long-term debt	<u>\$ 300,276</u>	<u>\$ 311,410</u>

Principal payment requirements due on the above obligations in each of the years subsequent to December 31, 2014 are as follows:

<u>Year Ending December 31,</u>	<u>Amount</u>
2015	\$ 1,329,867
2016	102,066
2017	93,806
2018	91,554
2019	<u>12,850</u>
Total	<u>\$ 1,630,143</u>

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Note 11 - Common stock

A summary of common stock of RJI and Affiliates is as follows:

	<u>2014</u>	<u>2013</u>
<u>R.J. Industries, Inc.</u>		
Common stock, \$100 par value, 200 shares authorized, 100 shares issued and outstanding	\$ 10,000	\$ 10,000
<u>R.J.I. Mechanical, Inc.</u>		
Common stock, no par value, 200 shares authorized, 100 shares issued and outstanding	<u>100</u>	<u>100</u>
Totals	<u>\$ 10,100</u>	<u>\$ 10,100</u>

Note 12 - Related party transactions

The Company provides services to an entity which is owned by the estate of a relative of an officer of the Company. Services rendered consist of consulting and labor which totaled \$32,365 and \$96,568, for the years ended December 31, 2014 and 2013, respectively. In addition, the Company leases office space under a month-to-month arrangement and shares employee services with this related party, which amounts totaled \$99,620 and \$251,636, for the years ended December 31, 2014 and 2013, respectively, and are included in combined cost of revenue and general and administrative expenses. At December 31, 2014 and 2013, the net balance payable to this entity was \$62,748 and \$22,107, respectively.

At December 2014 and 2013, the Company has advances due from officers of the Company totaling \$252,084, which are payable on demand.

The Company is contingently liable to a surety under a cross-indemnity agreement on construction contracts of a related party for which the Company performs consulting services. At December 31, 2014 and 2013, there were no remaining billings or estimated costs to complete on those contracts.

R.J. Industries, Inc. and Affiliates
Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013

Note 13 - Backlog

The following represents the backlog of signed contracts in existence at December 31, 2014:

Balance, January 1, 2014	\$ 34,651,028
New contracts and change orders during the year	<u>68,200,625</u>
	102,851,653
Less contract revenue earned during the year	<u>34,284,285</u>
Balance, December 31, 2014	<u>\$ 68,567,368</u>

In addition to the above, RJI entered into additional contracts in 2014 and 2015 in the amount of \$1,945,423 for work which has not yet commenced.

Note 14 - Commitments and contingencies

Lease commitments

The Company leases office and transportation equipment under noncancelable operating leases, which expire through March 2018. Future minimum lease payments in each of the years subsequent to December 31, 2014, including a lease obligation totaling \$26,993 that started in 2015, are as follows:

<u>Year Ending</u> <u>December 31,</u>	<u>Amount</u>
2015	\$ 30,933
2016	29,091
2017	23,568
2018	<u>4,625</u>
Total	<u>\$ 88,217</u>

Rent expense totaled \$225,191 and \$45,135 for the years ended December 31, 2014 and 2013, respectively.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Performance bonds

The Company is contingently liable to a surety under a general indemnity agreement. The Company agrees to indemnify the surety for any payments made on contracts of suretyship, guaranty or indemnity (see Note 12). The Company believes that all contingent liabilities will be satisfied by its performance on the specific bonded contracts.

At December 31, 2014 and 2013, the Company had \$200,000 and \$0, respectively, of outstanding letters of credit collateralized by marketable securities.

Litigation

The Company, from time to time, may be involved with lawsuits arising in the ordinary course of business. In the opinion of the Company's management, any liability resulting from such litigation would not be material in relation to the Company's financial position and results of operations.

Note 15 - Concentration risks

Credit risk

Financial instruments that potentially subject the Company to concentrations of credit risk consist primarily of cash and cash equivalents and contracts receivable.

The Company maintains cash balances in one financial institution. Accounts at this institution are insured by the Federal Deposit Insurance Corporation. From time to time, the Company's balances may exceed these limits. At December 31, 2014, the Company's uninsured bank balances totaled approximately \$2,291,000. The Company limits its credit risk by selecting financial institutions considered to be highly creditworthy.

Contracts receivable are due from general contractors, various state and local government agencies and private owners located primarily in New York. The Company does not require collateral in most cases, but may file claims against the construction projects if a default in payment occurs.

Customers

The Company obtains its contract work primarily through a competitive bid process. This may result in the Company earning a substantial portion of its revenue from relatively few customers in any given year. During the years ended December 31, 2014 and 2013, the Company earned approximately 89% and 78%, respectively, of its contract revenue from three and two customers, respectively. At December 31, 2014 and 2013, the Company has approximately 87% and 71%, respectively, of its contracts receivable balance due from two customers.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

Labor concentrations

The Company's direct labor is supplied primarily by unions, which have collective bargaining agreements expiring through June 2018. Although the Company's past experience has been favorable with respect to resolving conflicting demands with these unions, it is always possible that a protracted conflict may occur which could impact the renewal of the collective bargaining agreements. At December 31, 2014 and 2013, 60% of the Company's labor force is subject to collective bargaining agreements.

Note 16 - Retirement plans

Retirement plan - 401(k)

R.J. Industries, Inc. maintains a defined contribution retirement plan, which covers all eligible employees not covered under collective bargaining agreements as stipulated by the plan's document and provides for eligible employees to make elective contributions based on salary. There is no prior service cost associated with this plan. The Company has a safe-harbor match agreement and matches the employees' salaries up to 4% based on contribution amount. The Company funds all retirement contributions as accrued. Pension expense amounted to \$72,593 and \$70,165, respectively, for the years ended December 31, 2014 and 2013.

Multi-employer pension plans

The Company contributes to multi-employer pension plans under collective bargaining agreements which provide retirement benefits for its various union employees. The risks of participating in multi-employer plans are different from single employer plans as assets contributed are available to provide benefits to employees of other employers and unfunded obligations from an employer that discontinues contributions are the responsibility of all remaining employers. In addition, in the event of a plan's termination or the Company's withdrawal from a plan, the Company may be liable for a portion of the plan's unfunded vested benefits. The Company does not anticipate withdrawal from the plans, nor is the Company aware of any expected plan terminations.

R.J. Industries, Inc. and Affiliates

**Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013**

The Company's contributions to these plans were less than 5% of each such plan's total contributions. Unless otherwise noted, the most recent Pension Protection Act zone status available in 2014 is for the plan's year-end at December 31, 2014. The zone status is based on information that the Company received from the plan and is certified by the plan's actuary. Among other factors, plans in the red zone are generally less than 65% funded, plans in the yellow zone are less than 80% funded, and plans in the green zone are at least 80% funded. The "FIP/RP Status Pending/Implemented" column indicates plans for which a financial improvement plan ("FIP") or a rehabilitation plan ("RP") is either pending or has been implemented. Information for significant multi-employer pension plans in which the Company participates is included in the table below:

Pension Fund	EIN/Pension Plan Number	Pension Zone Status 2013	FIP/RP Status Pending or Implemented	Contributions		Surcharge Imposed	Expiration Date of Collective Bargaining Agreement
				2014	2013		
New York City District Council of Carpenters Pension	51-0174276/001	Green	No	\$ 206,813	\$ 79,884	No	6/30/15
General Laborers Local 66 Pension Fund	11-1974385/001	Green	No	127,980	109,460	No	6/30/18
Central Pension Fund of the International Union of Operating Engineers and Participating Employers	36-6052390/001	Green	No	73,212	82,799	No	5/31/15
Plumbers Local Union 200 Pension Fund	11-3125387/001	Yellow	Yes	55,972	89,216	Yes	4/30/15
Local 282 Pension Trust Fund	11-6245313/001	Green	No	28,670	29,452	No	6/30/16
Empire State Carpenters' Pension Fund	11-1991772/001	Green	No	39,730	71,284	No	6/30/15
Excavators Union Local 731 Pension Fund	13-1809825/002	Green	No	7,216	30,578	No	6/30/16
Steam Fitters Industrial Pension Fund	13-6149680/001	Yellow	No	9,369	31,701	No	6/30/17
Pavers and Road Builders District Council Pension Fund	13-1990171/074	Green	No	23,155	21,825	No	6/30/15
Other plans				<u>33,806</u>	<u>43,621</u>		
Totals				<u>\$ 605,923</u>	<u>\$ 589,820</u>		

R.J. Industries, Inc. and Affiliates

Notes to Combined Financial Statements
Years Ended December 31, 2014 and 2013

Note 17 - Noncontrolling interest

Eldor JV, ELPW JV, ELGN JV, SBU JV, RJ/SCAL JV and BSRJ, A TV ("JV's") were formed to perform on contracts specializing in the construction of wastewater treatment plants. RJJ consolidates the JV's assets and liabilities and eliminates the intercompany labor and equipment rental income and expenses.

The following table summarizes the carrying amount of the assets and liabilities of the noncontrolling interest of JV's included in the combined financial statements at December 31, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Assets:		
Cash and cash equivalents	\$ 737,147	\$ 316,106
Contracts receivable	2,438,341	856,267
Due from member	9,596	9,596
Costs in excess of costs and estimated earnings on uncompleted contract	51,318	91,061
Prepaid expenses and other current assets	<u>1,064,389</u>	<u>49,706</u>
Totals	<u>\$ 4,300,791</u>	<u>\$ 1,322,736</u>
Liabilities:		
Accounts payable and accrued expenses	\$ 807,579	\$ 628,845
Due to member	320,006	115,812
Accounts payable - related party	210	210
Line of credit	150,000	-
Current portion of long-term debt	409,745	-
Billings on excess of costs and estimated earnings on uncompleted contract	<u>1,922,880</u>	<u>-</u>
Totals	<u>\$ 3,610,420</u>	<u>\$ 744,867</u>

R.J. Industries, Inc. and Affiliates

**Combined Cost of Revenue and General and Administrative Expenses
Years Ended December 31, 2014 and 2013**

	<u>2014</u>	<u>2013</u>
Cost of revenue:		
Materials	\$ 11,564,258	\$ 9,554,292
Direct labor	4,393,937	4,229,226
Fringe benefit costs	3,905,189	3,366,380
Subcontracting	7,515,857	6,697,424
Depreciation	400,572	278,444
Other cost of revenue	<u>2,514,932</u>	<u>2,070,207</u>
Total cost of revenue	<u>\$ 30,294,745</u>	<u>\$ 26,195,973</u>
General and administrative expenses:		
Officers' salaries	\$ 842,283	\$ 736,395
Office salaries	620,287	515,764
Payroll taxes	167,801	127,251
401(k) expense	72,593	70,165
Management fee	-	63,751
Bidding	25,289	18,746
Computer	31,415	33,254
Depreciation	82,552	70,543
Charitable contributions	35,895	31,680
Insurance	401,629	414,587
Office expenses	187,762	137,090
Sales tax expense	-	44,434
Professional fees	117,940	135,210
Rentals	225,191	45,135
Repairs and maintenance	232,566	172,170
Travel and entertainment	72,889	79,848
Management fee income	<u>(104,737)</u>	<u>(48,533)</u>
Total general and administrative expenses	<u>\$ 3,011,355</u>	<u>\$ 2,647,490</u>

See Independent Auditor's Report.

R.J. Industries, Inc. and Affiliates

Combined Contracts in Progress
December 31, 2014

Job Name	Total Contract Price	Total Estimated Costs	Estimated Gross Profit	Billed to Date	Costs to Date	Percent Complete	Costs and Estimated Earnings in Excess of Billings	Billings in Excess of Costs and Estimated Earnings
Cedarhurst Lawrence	\$ 4,986,192	\$ 3,525,770	\$ 1,460,422	\$ 3,191,683	\$ 1,956,363	55.49%	\$ -	\$ 424,988
Bergen Point Valves	2,917,100	2,574,341	342,759	2,799,397	2,436,350	94.64%	-	38,651
Great Neck Microturbine	1,960,064	1,794,053	166,005	1,814,194	1,751,237	97.61%	99,087	-
Bay Park Cedar Creek Odor Control	25,850,280	23,071,375	2,778,905	15,151,638	12,925,095	56.02%	-	689,739
Glen Cove Blower	946,700	842,553	104,137	542,470	511,985	72.63%	145,154	-
Dock Place & Southland Drive	892,200	802,930	89,220	779,183	741,328	92.32%	44,510	-
Yaphank Denitrification	1,868,800	1,681,920	186,880	19,100	55,301	3.23%	42,348	-
Riverhead WWTP	17,888,900	16,082,910	1,786,990	5,780,654	5,886,022	36.47%	737,147	-
Great Neck JV	48,791,426	42,014,231	4,777,195	46,363,095	41,730,184	99.32%	111,987	-
Bay Park E-1	28,674,700	25,758,726	2,915,974	6,093,959	3,286,055	12.76%	-	2,435,911
Bay Park Grit	16,137,709	14,568,930	1,518,770	891,774	308,228	1.43%	-	680,410
	\$ 148,945,062	\$ 132,717,804	\$ 16,227,258	\$ 83,427,142	\$ 71,568,148		\$ 1,180,231	\$ 4,229,879

See Independent Auditor's Report.

Combined Earnings from Contracts
Year Ended December 31, 2014

See Independent Auditor's Report.

RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2010	Grove RT 600E (50 Ton Crane)	HO 2160		
2006	Link Belt RTC (50 Ton Crane)	RTC 606011	J6J57796	230901
	Handle 6" Pipe Threader	(w/ GE Industrial Motor)		365,000
2013	CAT Excavator	308E		218,505
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	EJTMX00784	16,620
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80		97,500
2014	Zenith 25 GPS			283352
				283493
				2860968
				13,000
2011	Moretrench (Various Dewatering Equipment)			105,000
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2002	CAT Skid Loader	262	CED01093	20,000
1991	GROVE Hyd Crane	RTG35	74217	66,000
2008	CAT Excavator	308 CCR	CVKX01388	61,500
2002	CAT Mini Excavator	305	DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	725	AFX00145	86,000
2002	CAT Mini Excavator	304.5	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	46,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AW01066	38,000
1997	JCB Telehandler (Forklift)	506B	0679416	23,250
	Gradall Forklift	644D	0455077	12,500
1998	CAT Backhoe	426C	1YR01605	40,000
2002	CAT Bulldozer	D5C	7PS01937	169,000
2003	ROBOVIB Pile Driver		90819	108,000
2008	CAT Excavator	330CL	CYA01151	8,000
2004	GORMAN RUPP - 4" Diesel Pump	PAGC60-4045D-C1	001281115N	10,000
	GORMAN RUPP - 6" Dry Prime Pump			
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16166	5,000
2008	Concrete Saw - Self Propelled	FS6600D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Trawl 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,260
	Light Towers			8,250
	Light Towers			8,260
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2006	Skyjack	SJ3219	262824	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300060660	13,750
2001	Lull - Telescopic Fork Lift	644C-42	01W21P22-3663	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B613D03962V	7,060
2009	CAT Payloader	986H	HCA6D02326	75,000
2006	John Deere (off road Dump)	300D	DW300DT589365	120,000



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8683

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

247-C

May 11, 2015

Nassau County
Department of Public Works
1550 Franklin Avenue
Mineola, NY 11501

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
R.J. Industries Inc.

Contract No. S35121-09G

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to R.J. Industries Inc., to the Local 138 Agreement Covering Working Conditions, dated June 1, 2009 to May 31, 2015. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,


JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND



**New York City District Council of Carpenters
Labor Technical College**

395 Hudson Street, New York, NY 10014
(212) 727-2224 Phone (212) 727-9776 Fax
www.nycdscouncil.org

Walter Warzecha
Interim Director of Training

May 18, 2015

RJ Industries
75 East Bethpage Road
P.O. Box 345
Plainview, New York 11803

To Whom It May Concern:

I am pleased to inform, that the New York City District Council of Carpenters Labor Technical College is a registered apprenticeship training program for the trade of, Carpenter (Dock Builder/Pile Driver), effective date, May 24, 2005, in accordance with article 23 of the Labor Law and the New York State Department of Labor Department of Apprenticeship Training. Timbermen fall under this agreement. The Labor Technical College is also the registered apprenticeship training program for the trade of, Carpenter, effective date, November 11, 1971, in accordance with article 23 of the Labor Law and the New York State Department of Labor Department of Apprenticeship Training.

RJ Industries is a registered signatory contractor with the New York City District Council of Carpenters and employs Carpenters from all carpenters Locals and Local 1556 Dock Builders and Timbermen.

If you have any questions or require additional information, please feel free to contact me at 212-727-2224.

Sincerely,

Walter Warzecha

APPRENTICESHIP - SKILLS TO BUILD AMERICA



R.J. Industries, Inc.

Prime Contracts In Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u>					
Bay Park STP / Cedar Creek WPCP Odor Control Facility	Nassau County DPW	Joseph Davenport 516-571-7515 Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$24,767,700	March-16	72%
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	Jun-16	39%
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Richard Webber 516-571-6811	\$996,700	September-15	73%
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$892,200	Dec-15	92%
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	December-15	16%
<u>BSRJ A TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E1) Contract No. S35121-13E	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$28,674,700	Jun-16	33%
<u>RJ / Scalapandre Joint Venture</u>					
Bay Park Sewage Treatment Plant Grit Removal Facility Improvements Contract No. S3B120-02G	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$16,187,700	Sep-17	2%

as of March
2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
City of Long Beach Rehabilitation of New York Avenue Lift Station	City of Long Beach		\$448,400	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Robert Breslin 516-924-4376	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	Robert Breslin 516-924-4376	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bleitsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bleitsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bleitsch H2M Group 631-756-8000	\$929,800	2010

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Great Neck Water District Concrete Clearwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$648,000	2010
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 518-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Westbury Water District Pump Modifications to Well #16 - General Construction Contract	Westbury Water District	Dvirka & Bartilucci William Merklin 516-364-9880	\$239,640	2006
Oyster Bay Pump Station Install Nitrogen Removal Facility	Oyster Bay Sewer District	Steven Hearle, PE H2M Group 631-756-8000	\$7,332,500	2006
Port Washington UFSD Daly Elementary School 9 (GC)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$6,364,150	2005
Port Washington UFSD Weber Middle School (GC & Mechanical)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$9,859,900	2004
Rikers Island EMS Tank Replacements	NYC - Dept. Design and Construction	LIRO Engineering Michael Benedetti 516-938-5476	\$543,089	2004
Port Washington UFSD Guggenheim & Manorhaven Schools (Alterations)	Port Washington UFSD	Turner Construction John Trommel 516-767-0446	\$1,425,140	2003
Port Washington - Newlist Avenue Install Carbon Adsorption Sys	Port Washington Water Authority	Dvirka & Bartilucci Bill Merklin 516-364-9892	\$774,623	2003
Westbury Water District Construct Well (#9) Facility	Westbury Water District	Dvirka & Bartilucci Bill Merklin 516-595-4845	\$579,400	2003
Various NYC Bus Depots Air Compressor Replacements	Metropolitan Transportation	NYCTA John Goonan	\$677,565	2002

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Upgrade Petroleum Product Storage (C-33000) - Various NYC Locals	Metropolitan Transportation Authority (MTA)	646-252-4119 NYCTA Joe Trainor 646-252-3467	\$4,805,450	2001

PROPOSAL

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

PUMP STATION REPAIR AND MITIGATION
WANTAGH PARK, NEWBRIDGE ROAD,
MERRICK ROAD AND RAY STREET PUMP STATIONS

Contract No. S3P311-03G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R. J. Industries, Inc.

Bidder: a Subsidiary S-Corporation
(Individual, Firm or Corporation as case may be)

Bidder's Business Address: 75 East Bethpage Road; PO Box 349
Plainville NY 11803

Telephone: 516-845-9772 Date of Bid: June 2, 2015

FAX: 516-454-1759 E-Mail: bid@rjill.net

NO TEXT ON THIS PAGE

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____
Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicitta
12 Allenby Drive, Ft. Salonga, NY 11768

Name and Residence of Vice-President: John C. Doremus
17 Melbourne Road, Great Neck, NY 11021

Name and Residence of Secretary: ^{Vice Pres.} John E. Koziatz
15 Warwick Road, Rockville Centre, NY 11570

Name and Residence of Treasurer: ^{Secretary +} William J. Doremus
114 Bayview Avenue, Northport, NY 11768

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this Bid; and that no other person, firm or corporation, except those herein named, has any interest in this Bid or in the Contract proposed to be entered into.
2. That this Bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a Bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or will become interested directly, or indirectly, as a contracting party, partner, stockholder, Surety or otherwise in this Bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the Work; the character, quality and quantity of existing materials; all difficulties likely to be encountered; the kind and extent of labor, equipment, other facilities needed for the performance of the Work; the general and local conditions; and all other items and conditions which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, and that he will execute the Contract and will completely perform it in strict accordance with its terms for the prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach the Contractor shall be required to have such a license.

NO TEXT ON THIS PAGE

PROPOSAL

I. Rejection of Bids

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.
7. Bids may be rejected if the County determines that the contractor's experience and qualification statement does not show adequate experience with projects of similar size, scope and complexity, particularly concerning maintenance of plant operations (MOPO) and complex phasing and sequencing of equipment on and off line during construction.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend /to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of the various items multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids

Bids on Lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate price bid, if any.

IV. Apprenticeship Training Program

For all Contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

NO TEXT ON THIS PAGE



**New York City District Council of Carpenters
Labor Technical College**

395 Hudson Street, New York, NY 10014
(212) 727-2224 Phone (212) 727-9776 Fax
www.nycdistrictcouncil.org

Walter Warzecha
Interim Director of Training

May 18, 2015

RJ Industries
75 East Bethpage Road
P.O. Box 345
Plainville, New York 11803

To Whom It May Concern:

I am pleased to inform, that the New York City District Council of Carpenters Labor Technical College is a registered apprenticeship training program for the trade of, Carpenter (Dock Builder/Pile Driver), effective date, May 24, 2005, in accordance with article 23 of the Labor Law and the New York State Department of Labor Department of Apprenticeship Training. Timbermen fall under this agreement. The Labor Technical College is also the registered apprenticeship training program for the trade of, Carpenter, effective date, November 11, 1971, in accordance with article 23 of the Labor Law and the New York State Department of Labor Department of Apprenticeship Training.

RJ Industries is a registered signatory contractor with the New York City District Council of Carpenters and employs Carpenters from all carpenters Locals and Local 1556 Dock Builders and Timbermen.

If you have any questions or require additional information, please feel free to contact me at 212-727-2224.

Sincerely,

Walter Warzecha

100

PROPOSAL

THIS PAGE SHALL BE COMPLETED BY CONTRACTOR SUBMITTING A BID ON GENERAL CONSTRUCTION CONTRACT NO. S3P311-03G			
ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	AMOUNT BID PRICE DOLLARS CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials and equipment required for all Construction work at Wantagh Park, Newbridge Road, Merrick Road, and Ray Street Pump Stations as specified and shown on the drawings, complete and ready for operation. <i>Seven Million, Four hundred and forty three thousand, two hundred dollars & no cents</i> Dollars Cents	<i>\$ 7,443,200</i> <i>00</i>
2	50 Cubic Yards	For extra earth excavation beyond the limits of the Contract Drawings and Specifications, as ordered by the County <i>Thirty</i> Dollars Cents	<i>\$ 1,500.</i> <i>00</i>
3	100 Cubic Yards	For select fill from outside sources (per the Contract Drawings and Specifications), as ordered by the County. <i>Thirty</i> Dollars Cents	<i>\$ 3,000</i> <i>00</i>
4	Allowance	For furnishing all labor, materials, equipment and incidentals for Miscellaneous Additional Work, as ordered by the County. <i>Two Hundred Thousand</i> Dollars Cents	<i>\$200,000</i> <i>00</i>

TOTAL BID (Bid Item Nos. 1 thru 4): \$ *7,647,700.*

TOTAL BID (Bid Item Nos. 1 thru 4): MUST BE WRITTEN IN WORDS:

Seven Million, Six hundred and forty seven thousand seven hundred dollars and no cents.
DOLLARS CENTS

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

ALLOWANCES. It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 4: An Allowance of two hundred thousand dollars (\$200,000) for Miscellaneous Additional Work.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01150, Measurement and Payment.

Final Contract Payment for allowance items shall be based upon actual payments as authorized by the County, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID. Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

NO TEXT ON THIS PAGE

PROPOSAL

MAJOR EQUIPMENT ITEMS. The Bidder shall fill in the names and addresses of the proposed manufacturers and/or suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

Specification			Manufacturer and/or Supplier
<u>Number</u>	<u>Description</u>		
11170	Magnetic Flow Meter	PCS-NJ, OCC/Metroling-NY, Eagle-NY	OR EQUAL
11530	Gas Detection Systems	KPRO-CT, MSA Ultima-NJ, Hester Assoc	OR EQUAL
11600	Fuel Tank Leak and Level Detection System	Udden Root, Wilkes West Inc-NY	OR EQUAL
15099	Large Diameter Valves, Specials and Appurtenances	Valvenatic-CT, GA/Hemer-NJ	OR EQUAL
15160	Wet-Pit Submersible Pumps	G.A. Fleet-NY	OR EQUAL
15160A	Dry-Pit Submersible Pumps	G.A. Fleet-NY	OR EQUAL
15341	Hydraulic Grinder System	Jager-NJ, Bendlin	OR EQUAL
15342	Electric Grinder System	Jager-NJ, Bendlin-NJ	OR EQUAL
15999	Sump Pumps	G.A. Fleet-NY, Jager-NJ, Koester-NJ	OR EQUAL
Division 16	Electric Service Panel	Square D-NY, AVON-NY	OR EQUAL
16200	Diesel Engine Driven Emergency Generator	Kohler USA	OR EQUAL
16927	Sump Pump Control Panel	G.A. Fleet-NY, Jager-NJ, Koester-NJ	OR EQUAL
17999	Odor Control System	Cochran/Hemer-NJ	OR EQUAL

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SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

PUMP STATION REPAIR AND MITIGATION
WANTAGH PARK, NEWBRIDGE ROAD, MERRICK ROAD AND
RAY STREET PUMP STATIONS
CONTRACT NO. S3P311-03G

BIDDER: R.J. Industries, Inc.

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: NA - Self Perform
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

2. Heating, Ventilating and Air Conditioning

- a. Company: NA - Self Perform
- b. Address: _____
- c. Telephone: _____
- d. Contact Name: _____
- e. Subcontract Value: \$ _____

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: LEB Electric LTD
- b. Address: 85 Ralph Avenue, Corbush NY
- c. Telephone: 631-847-0500
- d. Contact Name: Pat Grogan
- e. Subcontract Value: \$ 990,000

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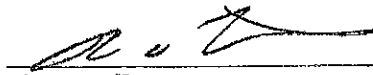
IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.


Signature/Date

Richard O. Felicetta, President
Print Name and Position

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MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes ___ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

Richard O. Felibetta,
President

R.J. Industries, Inc.
(Name of Business)

NO TEXT ON THIS PAGE

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: R. J. Industries, Inc.
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-1798851

Municipal License ID Number:

Municipal Licensing Agency: _____

By: [Signature] Date: 6/2/15
(Signature of Individual, Partner or Corporate Officer)

(Print): Richard O. Felicetta Title: President

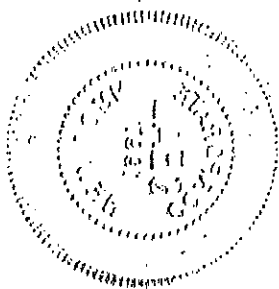
WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

[Signature]
Secretary
William J. Doremus

(CORPORATE)
(SEAL)

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GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____. Being duly sworn,
deposes and says: That he is the person described in and who executed the foregoing Bid
and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____. Being duly sworn,
deposes and says: That he is a member of _____
the firm described in and which executed the foregoing Bid; that he duly subscribed the
name of the firm thereunto on behalf of the firm; and that the several matters therein
stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

++ NO TEXT ON THIS PAGE ++

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

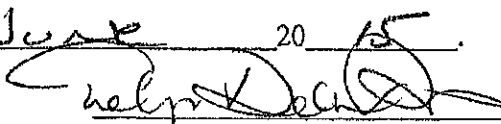
) ss.:

COUNTY OF NASSAU)

Richard C. Felicetta Being duly sworn,
deposes and says: That he resides at Suffolk County, NY,
that he is the President of the corporation
described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that the seal affixed to the said instrument is such corporate seal and was
affixed by order of the Board of Directors of said corporation; that he affixed his name
thereto by like order; and that he has knowledge of the several matters therein stated and
they are in all respects true.

Subscribed and sworn to before me

This 2nd day of June 20 15.



Notary Public

EVELYN DELLA RATT
Notary Public, State of New York
01DE4854133
Qualified in Nassau County 18
Commission Expires May 27, 20

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DISCLOSURE STATEMENT

Page 1 of 4

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.
Address: 75 East Bethpage Road; PO Box 349
City, State and Zip Code: Plainview, NY 11803
2. Entity's Vendor Identification Number: 11-3401288
3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☐ Closely Held Corp ☒ sub S-Corp ☐ Other (specify)
4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta, President	12 Allenby Drive; Ft. Salonga, NY 11768
John C. Doremus, Vice President	17 Melbourne Road; Great Neck, NY 11021
John E. Koziarz, Vice President	15 Warwick Road; Rockville Centre, NY 11570
William J. Doremus, Secretary-Treasurer	114 Bayview Avenue; Northport, NY 11768

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

see response to question #4

Page 2 of 4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company.

R.J. I. Mechanical, Inc.; 18-15 129th Street; College Point, NY 11356

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

None

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 2, 2015

Signed: 

Print Name: Richard O. Felicetta

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



EXECUTIVE ORDER NO. 2 - 2015

EXECUTIVE ORDER PURSUANT TO SECTION 203 OF THE
COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO FURTHER
DISCLOSURE BY THOSE DOING BUSINESS WITH NASSAU
COUNTY

WHEREAS, pursuant to subdivision 1 of section 203 of the Nassau County Charter, the County Executive is responsible for the administration of all departments, offices and functions of the county government; and

WHEREAS, the County Executive, in furtherance of his duties pursuant to subdivision 1 of section 203, is committed to ensuring transparency and openness with respect to the administration of governmental functions; NOW, THEREFORE BE IT

ORDERED, every lobbyist, as defined on the attached Lobbyist Registration and Disclosure Form (hereinafter "Lobbyist Form"), shall annually file the Lobbyist Form with the County Attorney and with the Clerk of the Nassau County Legislature for each calendar year, provided, however, that the filing of such statement of registration shall not be required of any lobbyist who in any year does not earn or incur an amount in excess of one thousand dollars reportable compensation and expenses for the purposes of lobbying, or is an officer, director, trustee or employee of any public corporation when acting in such official capacity; and it is further

ORDERED, that such filing shall be completed on or before January fifteenth by those persons who have been retained, employed or designated as lobbyists on or before December thirty-first of the previous calendar year who reasonably anticipate that in the coming year they will earn or incur combined reportable compensation and expenses in an amount in excess of one thousand dollars; and for those lobbyists retained, employed or designated after December thirty-first, and those lobbyists who, subsequent to their retainer, employment or designation, reasonably anticipate combined reportable compensation and expenses in excess of such amount, such filing must be completed within fifteen days thereafter; and it is further

ORDERED, that the County Attorney shall forward copies of each Lobbyist Form to the Nassau County Department of Information Technology ("IT"), and that IT shall develop and maintain a lobbyist registration page on that County's website, and make available all such Lobbyist Forms for public inspection on the County's website; and it is further

ORDERED, that upon termination of a lobbyist's retainer, employment or designation, such lobbyist and the client, if any, on whose behalf such services has been rendered shall both give notice to the County Attorney in the electronic filing system within thirty days after the lobbyist ceases the activity that required such lobbyist to file a statement of registration; however, such lobbyist shall nevertheless comply with the reporting requirements as set forth above and the reporting requirements for the last periodic reporting period up to the date such activity has ceased; and it is further

ORDERED, any lobbyist required to file a Lobbying Form as set forth herein who, in any lobbying year, earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars, for the purpose of lobbying, shall file with the County Attorney periodic reports, on forms prescribed by the County Attorney, by the fifteenth day next succeeding the end of the reporting period on which the cumulative total for such lobbying year equaled such sum. Such reporting periods shall be the period from January 1 to March 31, April 1 to May 31, June 1 to August 31 and August 31 to December 31; and it is further

ORDERED, that any lobbyist making a report pursuant to the above paragraph shall thereafter file with the County Attorney, on forms prescribed by the County Attorney, a periodic report for each reporting period that such person earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars for the purposes of lobbying during such reporting period. Such report shall be filed not later than the fifteenth day next succeeding the end of such reporting period and shall include the amounts so earned or

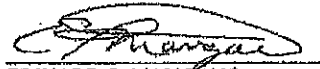
incurred during such reporting period and the cumulative total during the lobbying year; and it is further

ORDERED, that IT shall ensure that the Lobbying Form and the form for periodic reports prescribed by the County Attorney are available on the County website; and it is further

ORDERED, that this Executive Order shall take effect immediately.

Dated:

May 15, 2015



EDWARD P. MANCANO
NASSAU COUNTY ATTORNEY

Page 1 of 4

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

Page 2 of 4

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

N/A

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

Page 3 of 4

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: June 2, 2015

Signed: 

Print Name: Richard O. Felicetta

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

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PROPOSAL

QUALIFICATION STATEMENT

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?

17

2. How many years experience in the construction work of a similar type as this contract has your firm had?

a. as a Prime Contractor 7

b. as a Subcontractor 17

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
--------------------	------------------	----------------------	---

see attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to you? no
If so, identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no
If so, identify the project, the owner, the contract amount, the circumstances and the date of all default actions.

- c. ever been declared a non-responsible bidder by any municipality or public agency? no

If so; identify the project, the owner, the contract amount, the circumstances and date of all such declarations.

- d. ever been barred from bidding municipal or public contracts? no

If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been an officer, partner or principal of some other firm?

- a. that failed to complete a construction contract? no

If so; state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? no

If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? no

If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts?
no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no
If so; state name of individual, name of owner and reason therefor.

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

Real Estate Partnership 512 W 185th Street, LLC (minority interest)

1000

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Felicetta	President	27 yrs	General	Executive/Project Mgt & Mechanical
John C. Doremus	Vice President	24 yrs	General	Executive/Project Mgt & Mechanical
John E. Kozlarsz	Vice President	24 yrs	Mechanical	Proj. Mgt
William J. Doremus	Sec. Treasurer	16 yrs		Finance/Admin

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc	Years of Service	Present Location
see attached			

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Signature Bank - 2010 Grove RT 600E (50 Ton Crane)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.
Review of contract documents & pre-bid site visit

(use additional blank sheets if additional space is necessary)
15. Explain your plan and lay-out for performing the proposed work.
Will perform in accordance with owner approved schedule
16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.
John Doremus
17. Insurance carried by your firm:
- | Type | Company | Limits of Coverage | Term |
|---------------------------------|---------|--------------------|------|
| See attached sample certificate | | | |

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Richard O. Felicetta

Being duly sworn, deposes and says:

That he resides at 12 Allenby Drive
in the City of Fort Salonga, NY

Street,
that he is the President of

R.J. Industries, Inc.

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

this 2nd day of June, 2015



Notary

EVELYN DELLA RATTI

Notary Public, State of New York

01DE4854133

Qualified in Nassau County

Commission Expires May 27, 2018

(Form of affidavit where Bidder is a firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn, deposes and says:

That he is a member of

the firm in which executed the foregoing bid; that he duly subscribed in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

this day of , 20

Notary

(Form of affidavit where Bidder is a individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn, deposes and says:

That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true.

this day of , 20

Notary

THE UNIVERSITY OF CHICAGO
LIBRARY
540 EAST 57TH STREET
CHICAGO, ILL. 60637

NOTICE OF AWARD



CERTIFICATE OF LIABILITY INSURANCE

RJIND-1

OP ID: PA

DATE (MM/DD/YYYY)

08/07/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
A. L. Carr Agency, Inc.
101 Mill Dam Road
Centerport, NY 11721-0608

CONTACT

NAME:

PHONE

(A/C No. Ext): 631-271-2727

E-MAIL

ADDRESS:

FAX

(A/C No.): 631-271-2747

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURER A: ALLIED WORLD NATIONAL ASSURANC

INSURER B: DARWIN NATIONAL INSURANCE

INSURER C: NEW YORK STATE INSURANCE FUND

INSURER D: NATIONAL UNION FIRE INS OF PA

INSURER E: EVANSTON INSURANCE CO.

INSURER F:

INSURED
RJ INDUSTRIES, Inc.
75 EAST BETHPAGE ROAD
PLAINVIEW, NY 11803

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC <input type="checkbox"/> OTHER:	<input checked="" type="checkbox"/>	0308-1225	08/01/2014	08/01/2015	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 300,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	<input checked="" type="checkbox"/>	0903-1229-1D	08/01/2014	08/01/2015	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
D	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> DED <input type="checkbox"/> RETENTION \$ 15,000 <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> CLAIMS-MADE	<input checked="" type="checkbox"/>	BEO62829231	08/01/2014	08/01/2015	EACH OCCURRENCE \$ 10,000,000 AGGREGATE \$ 10,000,000
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NY) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A	TBD	08/01/2014	08/01/2015	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
E	EXCESS LIABILITY		XONJ612914	08/01/2014	08/01/2015	EXC LIAB \$ 5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 104, Additional Remarks Schedule, may be attached if more space is required)

RE: Contract #835114-07G, Project: Glen Cove Wastewater Treatment Plant- New Above Ground Diesel Storage Tank & New Aeration Blower.
The County of Nassau Department of Public Works and the City of Glen Cove are included as Additional Insured as respects General Liability, Umbrella Liability and Installation Floater.

CERTIFICATE HOLDER

COUNTY

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
1194 PROSPECT AVENUE
WESTBURY, NY 11590-2723

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

RJ Equipment

<u>Year</u>	<u>Item</u>	<u>Model No</u>	<u>Serial No.</u>	<u>Value / Orig Cost</u>
2010	Grove RT 600E (50 Ton Crane)	HO 2160		
2005	Link Belt RTC (50 Ton Crane)	RTC 805011	J6J57796	365,000
	Landis 6" Pipe Threader	(w/ GE Induction Motor)		218,505
2013	CAT Excavator	308E	23783	16,520
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80	EJTMX00784	97,500
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80	283352	22,150
2014	Zenith 25 GPS		283493	22,150
			2860958	13,000
2011	Moretrench (Various Dewatering Equipment)		105,000	
2011	Komatsu Hydraulic Excavator	PC200LC-8HD	KMTPC180P54A89729	128,450
1999	CAT Hydraulic Excav	320 BL	7JR02231	42,000
2002	CAT Skid Loader	262	CED01093	20,000
1991	GROVE Hyd Crane	RTG35	74217	65,000
2006	CAT Excavator	308 CCR	CVKXC01388	61,500
2002	CAT Mini Excavator		DSA00254	34,925
2001	CAT 725 Articulated Dump Truck	305	AFX00145	86,000
2002	CAT Mini Excavator	725	WAK00727	35,000
2001	CAT Backhoe	420 D	BLN00364	33,000
2002	CAT Skid Loader	262B	PDT00286	20,000
1990	John Deere Pay Loader	644E	DW644EB527520	45,000
1999	CAT Excavator	315 C	CCCFT00893	50,000
1997	CAT Excavator	315 BL	3AW01066	38,000
1997	JCB Telehandler (Forklift)	506B	0579416	23,250
	Gradall Forklift	544D	0455077	
1998	CAT Backhoe	426C	1YR01605	12,500
2002	CAT Bulldozer	D5C	7PS01937	40,000
2003	ROBOVIB Pile Driver		90819	159,000
2006	CAT Excavator	330CL	CYA01151	108,000
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1	001281115N	8,000
	GORMAN RUPP - 6" Dry Prime Pump			10,000
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D	168435	37,925
1998	CHICAGO PNEUMATIC Compressor	CPS 390	CE16156	5,000
2008	Concrete Saw - Self Propelled	FS6800D	1254115001	16,900
	Concrete Forms	Doka		80,000
	3 - WHIRLYBIRDS	Power Travel 36"		
	4-5 VIBRATORS	OZ Tech		
	Light Towers			8,250
	Light Towers			8,250
	Light Towers			8,250
2003	JLG 400S - Self Propelled Deutz	400S	300072553	19,500
2008	Skyjack	SJ3219	262624	5,500
2005	Skyjack	SJ3219	250891	5,500
2006	Skyjack	SJ3219	262669	5,500
2001	JLG Telescopic Boom Lift	400S	300080660	13,750
2001	Lull - Telescopic Fork Lift	844C-42	01W21P22-3563	20,000
2002	JLG Telescopic Boom Lift	400S	300061232	13,750
	Yale Forklift GP 070	GP 070	B813D03962V	7,060
2009	CAT Payloader	966H	HCA6D02326	75,000
2005	John Deere (off road Dump)	300D	DW300DT589355	120,000

R.J. Industries, Inc.

Prime Contracts in Progress

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>RJ Industries, Inc.</u> Bay Park STP / Cedar Creek W/PCP Odor Control Facility	Nassau County DPW	Joseph Davenport 516-571-7515 Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$24,767,700	March-16	72%
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	Jun-16	39%
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Richard Webber 516-571-6811	\$996,700	September-15	73%
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$892,200	Dec-15	92%
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-862-4188	\$1,868,800	December-15	16%
<u>BSRJ A IV</u> Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E1) Contract No. S35124-13E	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$28,674,700	Jun-16	33%
<u>RJ / Scalamandre, Joint Venture</u> Bay Park Sewage Treatment Plant	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer)	\$16,187,700	Sep-17	2%

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33081	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
City of Long Beach Rehabilitation of New York Avenue Lift Station	City of Long Beach		\$448,400	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Carmeron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Robert Breslin 516-924-4376	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	Robert Breslin 516-924-4376	\$20,888,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading Rive CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011

As of March 2015

R.J. Industries, Inc.

<u>PROJECT & LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willets Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickener	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010

As of March 2015

COUNTY OF NASSAU
STATE OF NEW YORK

DEPARTMENT OF PUBLIC WORKS
Division of Engineering

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to follow the exact language of this form as any omission, addition or change in phraseology may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned R.J. Industries, Inc., 75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

as Principal; and Liberty Mutual Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of Ten Percent of 10% of P.P. Proposal Price dollars (\$) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 27th day of May, 20 15

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

Contract No. S3P311-03G for the
Pump Station Repair and Mitigation, Wantagh Park, Newbridge Road, Merrick Road and Ray Street
Pump Stations, Contract No. S3P311-03G General Construction

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall:

- a. when notified by the County, execute all necessary counterparts of the Contract as set forth in the Contract Documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the Contract Documents for the faithful performance and proper fulfillment of such Contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience, and liquid assets required for the Contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the Contract Documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

R.J. Industries, Inc.
Contractor

by William J. Doremus (L.S.)

(Corporate seal of
Contractor
Title if a corporation)

Treasurer

by _____ (L.S.)

Title

by _____ (L.S.)

Title

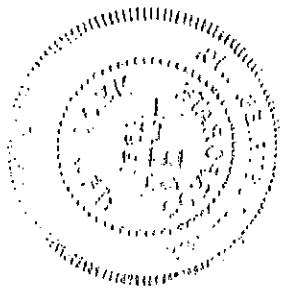
Liberty Mutual Insurance Company
175 Berkeley Street, Boston, MA 02116
Surety

by Susan Lupski (L.S.)

Title of Officer Susan Lupski, Attorney-In-Fact

Witness: Deanne Caudle (L.S.)
~~XXXX~~ ~~THE OFFICE~~

(Corporate seal
of Surety)



FORM OF BID BOND

NO TEXT ON THIS PAGE

(Acknowledgment by Contractor if a corporation)

SS.:
COUNTY OF Nassau

W. Guyane Wilson

MARYANNE HILTON
Notary Public, State of New York
No. 01H6213068
Qualified in Nassau County
Commission Expires November 02, 20__

SS.:
COUNTY OF _____)

Notary Public

STATE OF _____)

SS.:
COUNTY OF _____)

Notary Public

Commission Expires November 28, 2000
Qualified in Western County
No. 0110232000
Notary Public, State of New York
MARTIN EMMANUEL

FORM OF BID BOND

NO TEXT ON THIS PAGE

FORM OF BID BOND

(Acknowledgment by Surety Company)

STATE OF New York)

ss.:
COUNTY OF Nassau)

On this 27th day of May, 20 15, before me personally came Susan Lupski to me

Known, who being by me duly sworn, did depose and say that he resides in Nassau County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the

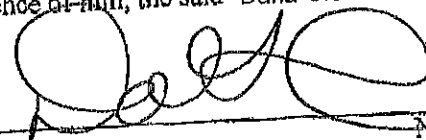
State of New York, and the said Dana Granice further said

that he is acquainted with Susan Lupski and knows him

to be the Attorney-In-Fact of said company; that the signature

of the said Susan Lupski subscribed to the within instrument is

in the genuine handwriting of the said Susan Lupski and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Dana Granice



Notary Public

DANA GRANICE
Notary Public, State of New York
No. 01GR6099128
Qualified in Nassau County
Commission Expires September 22, 2015

1944

FORM OF BID BOND

NO TEXT ON THIS PAGE

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 6739161

American Fire and Casualty Company
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company
West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint Camille Malfand; Colette R. Chisholm; Desiree Cardlin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Nelly Renschlich; Peter F. Jones; Rita Saglstand; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh; Virginia M. Lovett

all of the city of Uniondale, state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 28th day of October, 2014.



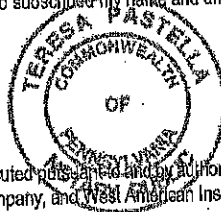
American Fire and Casualty Company
The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA
COUNTY OF MONTGOMERY

On this 28th day of October, 2014, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Plymouth Twp., Montgomery County
My Commission Expires March 28, 2017
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this MAY 27 2015 day of MAY, 2015.



By: Gregory W. Davenport
Gregory W. Davenport, Assistant Secretary

To confirm the validity of this Power of Attorney call
1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.





LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2014

Assets		Liabilities	
Cash and Bank Deposits	\$744,221,142	Unearned Premiums	\$6,288,178,795
*Bonds — U.S Government	1,718,117,704	Reserve for Claims and Claims Expense	16,879,324,618
*Other Bonds	11,205,872,087	Funds Held Under Reinsurance Treaties	211,983,009
*Stocks	9,533,437,819	Reserve for Dividends to Policyholders	1,246,547
Real Estate	277,742,849	Additional Statutory Reserve	40,877,587
Agents' Balances or Uncollected Premiums	4,150,041,316	Reserve for Commissions, Taxes and	
Accrued Interest and Rents	129,261,358	Other Liabilities	<u>2,664,248,124</u>
Other Admitted Assets	<u>14,896,464,393</u>	Total	<u>\$26,085,858,680</u>
Total Admitted Assets	<u>\$42,655,158,668</u>	Special Surplus Funds	\$53,954,363
		Capital Stock	10,000,000
		Paid in Surplus	8,829,117,542
		Unassigned Surplus	7,676,228,083
		Surplus to Policyholders	<u>16,569,299,988</u>
		Total Liabilities and Surplus	<u>\$42,655,158,668</u>



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2014, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 20th day of March, 2015.

T. Mikolajewski

Assistant Secretary



CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK
INSURANCE LAW

STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

LIBERTY MUTUAL INSURANCE COMPANY

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,569,299,988 (Capital \$10,000,000) as is shown by its sworn financial statement for the Year-End as of December 31, 2014, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this
17th day of March, 2015

Benjamin M. Lawsky
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo
Special Deputy Superintendent

FORM OF BID BOND

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SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

PUMP STATION REPAIR AND MITIGATION
WANTAGH PARK, NEWBRIDGE ROAD, MERRICK ROAD AND
RAY STREET PUMP STATIONS
CONTRACT NO. S3P311-03G

BIDDER: Raj Industries, Inc.

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: NA - Self Perform
b. Address: _____
c. Telephone: _____
d. Contact Name: _____
e. Subcontract Value: \$ _____

2. Heating, Ventilating and Air Conditioning

- a. Company: NA - Self Perform
b. Address: _____
c. Telephone: _____
d. Contact Name: _____
e. Subcontract Value: \$ _____

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: LRB Electric LTD
b. Address: 85 Ralph Ave
c. Telephone: 631-842-0500
d. Contact Name: PAT Gugen
e. Subcontract Value: \$ 990,000.00

